

**PROCEDURAL GUIDE
FOR
CAL FIRE GREENHOUSE GAS REDUCTION FUND
FOREST MANAGEMENT PROJECTS**

**WATERSHED REFORESTATION AND
RESTORATION PROGRAM**

**AUTHORIZED BY
AB 32**

**California Global Warming Solutions
Act of 2006**

**FUNDED BY
SB 852, Budget Act of 2014**

**SB 862,
Committee on Budget and Fiscal Review.
Greenhouse Gases: Emissions Reduction, 2014**



**State of California – The Natural Resources Agency
California Department of Forestry and Fire Protection**

April 30, 2015

Quick Start

CAL FIRE Greenhouse Reduction Fund – Watershed Reforestation and Restoration

Eligibility

Landowners, Native American tribes, public agencies, or nonprofit organizations.

Projects on forestlands damaged by a fire or other catastrophic event.

Project types

Large scale, multi-landowner, reforestation after catastrophic event(s).

In addition to reforestation, projects may also incorporate post-fire fire hazard reduction, site preparation, and utilization of biomass.

Grant Criteria

All projects shall be designed to further the objectives of AB 32 and meet some or all of the greenhouse gas emission objectives stated in this document. Projects that do not immediately result in a GHG benefit must be sustained at least until a net GHG benefit is realized and maintained for 10 years.

Include a methodology to calculate and quantify the GHG emission benefit.

Project identifies and implements a reforestation process and partner(s), documents watershed restoration actions, benefits and follow-up maintenance.

Registered Professional Forester involvement in project design, CEQA and oversight/implementation.

All projects completed by December 31, 2019.

Project Application Form – Form can be found at: <http://www.fire.ca.gov/grants/grants.php> . Submit one printed copy with original signature(s) and all supporting materials to: California Department of Forestry and Fire Protection, Attention: Grants Management Unit/Greenhouse Gas Reduction Fund Grants, P.O. Box 944246, Sacramento, CA 94244-2460. E-mail an electronic copy and attachments to CALFIRE.Grants@fire.ca.gov. Be sure to include all attachments.

Deadline for Project Applications – June 10, 2015

Contact - Forest Stewardship Program Manager: Stephen Smith, Stephen.Smith@fire.ca.gov

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INTRODUCTION

This procedural guide includes information for the use of grant funds provided by the Greenhouse Gas Reduction Fund (GGRF) and administered by the California Department of Forestry and Fire Protection (CAL FIRE). CAL FIRE is administering grants for a variety of GGRF Forest Management Project programs. This guide addresses procedures for the Watershed Reforestation and Restoration grant program. The Watershed Reforestation and Restoration grant program is for landowners, Native American tribes, public agencies, or nonprofit organizations interested in funding for large scale projects that reforest watersheds damaged after a catastrophic wildfire and start the rehabilitation process on forestlands in the State. All projects are focused on reforestation and must achieve and maintain a net Greenhouse Gas (GHG) benefit through the establishment and care of the forest.

Department Mission:

The California Department of Forestry and Fire Protection serves and safeguards the people and protects the property and resources of California.

Purpose:

The purpose of all GGRF Forest Management Projects is to ensure California's forests continue to be a significant carbon storage "sink" and to reduce or avoid GHG emissions due to wildfire, loss of forest cover, and pest damage. The objective of the Watershed Reforestation and Restoration program is to increase carbon sequestration in the replanting of the forest after fire or other catastrophic events. This project is for a large-scale reforestation effort where watershed specific seedlings will be grown, planted with specific reforestation practices, and will have follow-up treatments. Reforestation prescriptions will focus on immediate replanting seed zone specific trees, including superior seed stocks where available. With the successful establishment of the trees, the watershed will need a reduction of wildfire hazards to decrease future losses, restore soil health, and provide infrastructure to protect the forest.

Part of a watershed-wide reforestation effort is the complimentary GHG practices that maintain, protect and support carbon storage and avoid GHG emissions. Site prep and watershed protection activities include selectively removing and utilizing damaged trees and unwanted biomass to reduce future fire hazards and increase productive carbon storage with improved tree growth and resilience. Prudent follow-up management of a replanted watershed will decrease the potential of repetitive large wildland fires that release greenhouse gases.

APPLICANT ELIGIBILITY AND CONDITIONS

Below is an abbreviated list of applicant eligibility and projects conditions. See Appendix C, Eligibility and Grant Criteria, for a complete listing.

1. Eligible grantees may be landowners, Native American tribes, public agencies, or nonprofit organizations. Per the Public Resources Code, Section 30910 (c), "Nonprofit organization"

means any California corporation organized under Section 501(c)(3) of the federal Internal Revenue Code.

2. Projects must be on forestlands damaged by a fire or other catastrophic event and when applicable must meet California Forest Practice Rules for stocking standard, immediately upon completion of the project.
3. In order to be eligible for funding under this program, a project must demonstrate that it will achieve and maintain a net reduction in GHG emissions. All projects shall be designed to further the goals of the California Global Warming Solutions Act of 2006 (Assembly Bill 32, Health and Safety Code Section 38500 et. seq). Additionally, projects should be designed to meet some or all of the GHG emission reduction and carbon sequestration objectives stated in this guidelines document. Projects that do not immediately result in a GHG benefit must be sustained at least until a net GHG benefit is realized and maintained for 10 years. All projects shall include a methodology and calculate and quantify the GHG emission benefits resulting from the project.
4. Reforestation prescriptions will focus on selectively removing burned trees and brush with a goal of establishing the conifer or native hardwood forest cover, while addressing watershed-wide restoration concern of fire hazard, support tree growth and stabilizing carbon in retained trees, increase forest resilience, and utilize vegetative material removed during the treatments.
5. A Registered Professional Forester (RPF) shall design the fuel hazard reduction/vegetation removal prescription and have involvement/oversight in the removal of the vegetation. The RPF shall also develop and describe the GHG quantification methodology and calculate the GHG benefits of the project.
6. All projects will include a methodology to calculate and quantify the GHG emission benefit resulting from the project.
7. Applicants must provide a methodology and implementation framework for pre and post-project evaluation/monitoring of site conditions and project results. Grantees will be required to implement the evaluation /monitoring framework. The Air Resources Board (ARB) is developing funding guidelines that may require additional provisions such as additional project monitoring and reporting requirements.
8. All project work related to the grant must be completed by December 31, 2019.
9. Applicants who are awarded grants will be required to provide CAL FIRE project information to support entry in CAL MAPPER, CAL FIRE's geo spatial database framework that facilitates mapping and monitoring of GGRF and other CAL FIRE vegetation treatment projects.

IMPORTANT POINTS

1. Project work may not commence until there is a fully executed agreement between CAL FIRE and the Grantee. Further, no ground disturbing work may commence until the requirements of the California Environmental Quality Act (CEQA) have been satisfied.

2. Agreements for funds approved by the Legislature must be executed (signed) within the period of availability provided in the state budget.
3. The Unit Forester or Designee must be contacted to conduct an inspection prior to invoicing CAL FIRE for completed work. CAL FIRE will conduct a spot inspection to verify that work to be invoiced has been satisfactorily completed. The invoice will be forwarded to Sacramento Headquarters for review and subsequent approval of payment. Expect payment to take four to eight weeks from the time an invoice is submitted.
4. A resolution or attesting document is required on grants that involve nonprofit organizations and local government entities such as Fire Protection Districts and Resource Conservation Districts. The attestee cannot be the same individual as designated by the Board to sign the agreement. Please refer to the sample resolution (Appendix E). It is recommended that the organization/entity designates the working title of the individual rather than the name on the line where it indicates 'whereas, the Board designates (designee's title)'. In the event the individual retires or leaves the organization/entity, a new resolution designating a replacement would be required if a name is listed rather than the working title. The resolution should name the designee for not only entering into agreements on behalf of the board, but also the authorized signatory for invoices. The organization/entity must notify CAL FIRE in writing when a different individual is appointed to either an acting or permanent capacity.

APPLICATION PROCESS and PROJECT ADMINISTRATION

The application process is a three stage process. During stage 1- Concept Proposal, interested applicants will submit a Concept Proposal that will allow CAL FIRE staff the opportunity to review and qualify the project for the stage 2-Project Application.

During the stage 2-Project Application, applicants will submit a more detailed application expanding on the components identified during the Concept Proposal.

Stage 3 is an administrative step to develop a final grant agreement.

Application Process

1. **Concept Proposal** – Interested applicants should submit a Concept Proposal to CAL FIRE. CAL FIRE will review the Concept Proposal for general consistency with the requirements of the funding and Applicant Eligibility and Grant Selection Criteria. Proposals that meet these requirements will be asked to submit a Project Application.

Concept Proposals are to be prepared online and submitted to the Grants Management Unit at calfire.grants@fire.ca.gov. Concept Proposals may also be mailed to:

Department of Forestry and Fire Protection
 Grants Management Unit
 P.O. Box 944246
 Sacramento, CA 94244-2460

An example of the Concept Proposal form is located in Appendix C. The on-line form can be found at http://calfire.ca.gov/resource_mgt/downloads/GHG_ConceptProposalForm.pdf

The Concept Proposal form must be submitted to Sacramento no later than November 21, 2014.

When Concept Proposals are submitted to CAL FIRE the Grants Management Unit will log in the proposal and enter pertinent information into a database. Proposals will then be sent to the program manager for review. The program manager will review the project and notify the Grants Management Unit of which proposals meet the eligibility and funding criteria. The Grants Management Unit will then request an application from the proposal submitter if they meet the criteria or inform them that their proposal did not meet the criteria and will not be evaluated further.

2. **Project Application** – Applicants will be invited to submit a Project Application package for projects that meet Concept Proposal requirements. The Project Application package shall include one (1) complete hard copy and (1) electronic copy of the following documents:

Project Application Deadline
Must be postmarked no later than
June 10, 2015

Attachment A – Project Application. (Appendix C) (hard copy must be signed, electronic submittal must be in fillable PDF format)

Attachment B – Scope of Work.

Map.

Attachment C – Proposed Project Budget. (Appendix D)

Supplemental Information.

Project location GIS data file.

Nonprofit Applicants: Articles of Incorporation including the Seal from the Secretary of State.

The hard copy shall be mailed to:

Department of Forestry and Fire Protection
 Grants Management Unit
 P.O. Box 944246
 Sacramento, CA 94244-2460

The electronic copy and attachments should be submitted to CALFIRE.Grants@fire.ca.gov. Be sure to include all attachments with following file names:

| Attachment | electronic file name |
|---|--|
| Attachment A - Project Application Form | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-Application.pdf |
| Attachment B – Scope of Work | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-SOW.doc |
| Map | 14-GHG-FMP-01-XXXX-GGRF ID-MAP.pdf |
| Attachment C – Budget | 14-GHG-FMP-01-XXXX-GGRF ID-Budget.xls |

| | |
|---|---|
| Supplemental Information | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-Supplemental.pdf |
| Project location GIS data file | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-Project Area.kmz |
| Articles of Incorporation for non profits | 14-GHG-FMP-01-XXXX-GGRF ID-non profit.pdf |

Note:

Replace XXXX in the file name with the Project Tracking ID Number.

Replace GGRF ID in the file name with the appropriate program name acronym (DSFR, FPC, FR, or WRR)

Replace UUU in the file name with the 3-letter identifier for the Unit where the project is located. Unit identifiers are listed in the item #1 drop down list in Project Application.

Attachments become part of the final agreement between the State and the Grantee. Therefore, complete these attachments as accurately as possible to avoid delays due to corrections prior to approval. Revisions to these attachments will result in final documents agreed upon by the State and the Grantee as part of the Grant Agreement.

CAL FIRE will review the applications for completeness and the ability to achieve the objectives of the program. Applications found to meet these criteria will be further reviewed and recommendations made on priority ranking of the projects. Sacramento staff will receive written approval from the Grants Management Unit for all projects that include the purchase of equipment. If approval for equipment is not received the program manager will inform the grantee of the need to revise the project if they wish to have it considered further.

Project Application Deadline: Must be postmarked no later than June 10, 2015.

3. **Grant Agreement** – Upon receipt of the Grant Agreement package, CAL FIRE will route the grant agreement for signature by the Deputy Director of Resource Management or delegated authority and encumbrance by CAL FIRE Accounting Officer. CAL FIRE will return will return one copy to the grantee, completing the approval process.

The Unit Forester or Designee is the designated contact to conduct periodic and final inspections.

All Agreements must be signed and returned to CAL FIRE prior to the established deadlines.

CAL FIRE may perform an audit of completed projects as described on under “State Audit.”

Grant Termination Date - All project work related to the grant must be completed no later than December 31, 2019.

4. **Grant Agreement** – After project selection is complete, and all documents are found to be in order for signing, the Sacramento Grants Management Unit prepares a Grant Agreement and forwards it to the Grantee. Upon receipt of the Grant Agreement package, the grantee shall sign the three original signature grant agreements, submit them with a copy of attachments A, B & C, include the Board Resolution or Attesting Document granting authority to sign and Articles of Incorporation if a nonprofit applicant, and include a Standard Form 204. The Agreement package shall include a complete set of the following:

- 3 copies of the Grant Agreement with Terms and Conditions with original signatures.
- Attachment A – Final Request for Grant.

- Attachment B – Final Scope of Work and map.
- Attachment C – Final Project Budget.
- Board Resolution or Attesting Document granting authority to sign.
- Articles of Incorporation if a nonprofit applicant.
- Standard Form 204.

5. **Grant Award** – Grantee returns three complete, original signed agreements to the Sacramento Grants Management Unit. Funding is encumbered by CAL FIRE Accounting Officers and the grant agreement is signed by the CAL FIRE Deputy or Assistant Deputy Director for Resource Management, completing the approval process.

The Unit Forester or Designee is the designated contact to conduct periodic and final inspections.

All Agreements must be signed and returned to CAL FIRE prior to deadlines established by the CAL FIRE Department of Accounting.

CAL FIRE may perform an audit of completed projects as described on under “State Audit.”

Grant Termination Date - All project work related to the grant must be completed no later than December 31, 2019.

Project Amendments and Termination

After Project commencement, an Agreement may be amended by written consent of both the State and Grantee. An agreement may be terminated by the State or Grantee upon the giving of written notice thirty (30) days in advance of termination to the other party.

Changes to Approved Project

A Grantee wishing to change the scope of an approved project shall submit the proposed change in writing to CAL FIRE for review and subsequent approval. Any change must be consistent with the authorizing legislation.

Accounting Requirements

The Grantee shall maintain an accounting system that accurately reflects fiscal transactions, with the necessary controls and safeguards. This system shall provide a good audit trail, including original source documents such as receipts, progress payments, invoices, purchase orders, time cards, cancelled checks, etc. The system shall also provide accounting data so the total cost of each individual project can be readily determined. These records shall be retained by the grantee for a period of three years after final payment is made by the state. **Avoid audit exceptions – keep accurate records.**

Loss of Funding

The following are examples of actions that may result in a Grantee's loss of funding: (Not a complete list)

- Grantee fails to obtain a Grant Agreement.
- Grantee fails to use all of its allocation.
- Grantee withdraws from the grant program.
- Grantee fails to complete the funded project.
- Grantee fails to submit all documentation within the time periods specified in the Grant Agreement.
- Grantee fails to submit evidence of CEQA compliance within one year of the execution of the agreement, as specified by the Grant Agreement.
- Grantee changes the project scope without concurrence of the State.
- GHG objectives and emission reduction targets are failed to be achieved.
- Grantee or the State terminates the project.

Eligible Costs

Project cost must be consistent with the approved project application and incurred during the performance period as specified in the Grant Agreement. The following are Budget Items and eligible costs under those items. Use the information below to complete Attachment C – Project Budget Template.

| Budget Item | Eligible Cost |
|--------------------|--|
| Salaries and Wages | Salaries and wages of employees employed by the grantee whom are DIRECTLY engaged in the execution of the grant project. Limited to actual time spent on the grant project. Examples of expenditures would be time related to site visits and project monitoring, or completion of reporting related to the grant project. Staff time related to accounting, business services, etc. are allowing only if those functions are not included in the grantee's overhead cost. |
| Benefits | Employer contribution share of fringe benefits associated with employees (paid from salaries and wages Budget Item) whom are directly engaged in the execution of the grant project. This will include Social Security, Medicare, Health Insurance, Pension Plan costs, etc. as applicable for the specific employee. |
| Contractual | Direct Consultant and contractual services necessary to achieve the objectives of the grant. Examples of contractual costs will be RPF supervision/certification, GHG verification contractor, etc. Procurement of contractual services should be documented to ensure selection on a competitive basis and documentation of price analysis. |

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| Travel | Travel cost associated with travel to and from project sites, meetings, etc. directly related to the grant project must be considered reasonable and necessary for the completion of the project. Reimbursement rates shall be consistent with the grantee's written travel policy. Absent a written policy, per diem shall not exceed the California Standard Per Diem Rate allowable by the U.S. General Services Administration (http://www.gsa.gov/portal/category/100120). Mileage rates shall not exceed the rates allowable by IRS (http://www.irs.gov/Tax-Professionals/Standard-Mileage-Rates). |
| Supplies | Supplies that are used in the direct support of the project are allowable. Supplies exceeding \$500 per unit cost shall be documented to ensure procurement of supplies on a competitive basis and documentation of price analysis. |
| Equipment | Equipment is considered an item exceeding \$5,000 or more per unit cost and a tangible useful life of more than one year. Cost to lease equipment to use of the grant may be charged to the grant. Usage of equipment owned by the grantee may be charged to the grant at a rate set by the California Department of Transportation "Labor Surcharge and Equipment Rental Rate" guide (http://www.dot.ca.gov/hq/construc/equipmnt.html). Purchase of equipment using grant funds is allowable only with prior approval by CAL FIRE. A cost-benefit analysis to justify the cost of purchasing equipment versus leasing must be provided. Procurement of equipment must be done on a competitive basis and include documentation of price analysis. Grantee must include in the application package the proposed use and maintenance plans for equipment after the performance period of the grant. Disposition of equipment beyond the project performance period is subject to CAL FIRE approval. |
| Other | Other costs that do not fit in any of the above categories. Cost must be directly related to the grant project. Cost (such as rent, utilities, phones, general office supplies, etc.) that must be apportioned to the grant is considered indirect cost unless written justification is submitted and approved by CAL FIRE. |
| Indirect Costs | Costs associated with doing business that are of a general nature and are incurred to benefit two or more functions within the grantee organization. These costs are not usually identified specifically within the grant agreement, project, or activity, but are necessary for the general operation of the organization. Examples of overhead costs include salaries and benefits of employees not directly assigned to a project; functions such as personnel, accounting, budgeting, audits, business services, information technology, janitorial, and salaries of supervisors and managers; and rent, utilities, supplies, etc. Functions included as direct versus indirect costs must be applied consistently for all activities within the grantee organization, regardless of fund source. The maximum Indirect Cost Rate permissible in the grant is 12% of direct costs (excluding equipment direct costs). |

Ineligible Costs

The following are costs ineligible for reimbursement under the grant:

- Costs incurred before or after the project performance period.
- Cost of preparing the grant proposal.
- Late fees, penalties, and bank fees.

Payment of Grant Funds

Funds cannot be disbursed until there is a fully executed Grant Agreement between the State and the Grantee. Except in those cases where CAL FIRE authorizes advanced payments, all payments will be made on a reimbursement basis (i.e., the Grantee pays for services, products or supplies; invoices CAL FIRE for same; and is reimbursed by the State upon approval of the invoice). Grantees are instructed to use an invoice form consistent with invoice guidance in Appendix G when requesting payment of any type and include appropriate back-up documentation to support the cost (see Required Documentation below).

| Budget Item | Required Documentation |
|--------------------|---|
| Salaries and Wages | Timesheets detailing days and hours worked on the project. Timesheet must be signed by the employee after the fact. Payroll documentation showing wages and hours paid to the employee. |
| Benefits | Timesheets detailing days and hours worked on the project. Timesheets must be signed by the employee after the fact. Payroll documentation showing wages and hours paid to the employee and associated fringe benefits. |
| Contractual | Invoices from Consultant/Contractor identifying expenditure, services performed, and period of services. Documents related to consultant/contractor selection analysis shall be kept by the grantee but available for audit purposes. |
| Travel | Receipts identifying travel cost (i.e., lodging, rental cars). Mileage must be documented by either employee travel claims that are signed by the employee or vehicle mileage logs for vehicles owned by the grantee. Per Diem must be documented by employee travel claims. |
| Supplies | Receipts identifying item purchased, cost and date of purchase. Documentation related to price analysis of procurement of supplies exceeding \$500 shall be kept by the grantee but available for audit purposes. |
| Equipment | Cost of leased equipment charged to the grant must be substantiated with receipts identifying equipment leased, dates equipment was leased, lease rate, and total cost. Usage of grantee equipment must be substantiated with an equipment usage log, equipment used, rate and total rental cost. Cost of equipment purchased shall be substantiated by purchase receipt. Documentation related to price analysis of procurement of equipment shall be kept by the grantee but available for audit purposes. Disposition of the equipment must be approved by CAL FIRE in writing at the end of the grant term. |
| Other | Invoices or receipts identifying the item and cost charged to the grant. |

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| Indirect Costs | Applied on a percentage (%) basis on direct costs except for equipment. |
|----------------|---|

Advance Payment of Grant Funds (nonprofits only)

The State may at its discretion make advance payment to the Grantee upon written request by the Grantee. Advance payment made by the State shall be subject to the following provisions. Where hardship circumstances exist for the Grantee, the State will consider authorizing advance payments. The State will consider the following factors in determining whether a hardship situation exists:

- Cash flow hardship of the Grantee, including the need for advance funding in order to initiate a project. A justification for advance payment may include items such as the inability to pay for staff, supplies, administration expenses, and secure contractors for project work.

The following guidelines will be applied to advance payments:

- Multiple advance payments may be made to a Grantee over the life of a project.
- No single advance payment shall exceed 25% of the total grant amount.
- A request for advance payment must include the same level of expenditure detail and justification as a regular invoice.
- All work under a previous advance payment must be completed to CAL FIRE's satisfaction before another advance payment will be made.
- Any advance payment received by a grantee and not used for project implementation shall be returned to the California Department of Forestry and Fire Protection.
- Advance payments must be deposited into an interest-bearing account. Any interest earned on advance payment funds must be accounted for and must be used toward the project or returned to CAL FIRE.
- Grantee must spend the advance within six months.

State Audit

Upon completion of the project, the state may audit the project records. A project is considered complete upon receipt of final grant payment from the State. The purpose of the audit is to verify that project expenditures were properly documented. The audit would be requested by the State after the final payment request has been received and all project transactions have been completed.

If your project is selected for audit, you will be contacted at least 30 days in advance. The audit should include all books, papers, accounts, documents, or other records of the Grantee, as they relate to the project for which state funds were granted. Projects may be subject to an audit at any time for up to three years after receipt of final grant payment.

In an effort to expedite the audit, the recipient shall have the project records, including the source documents, and cancelled warrants readily available. The Grantee shall also provide an employee having knowledge of the project and the accounting procedure or system to assist the state auditor. The Grantee shall provide a copy of any document, paper, record, or the like as requested by the state auditor.

All project records must be retained by the Grantee for a period of not less than one year after the state audit or final disposition of any disputed audit findings. Grantees are required to keep source documents for all expenditures related to each grant for at least three (3) years following project completion and one year following an audit.

Explanation of Terms

| TERM | EXPLANATION |
|----------------------------------|---|
| Agreement | A legally binding agreement between the State and another entity. |
| Amendment | A formal modification or a material change of the agreement, such as term, cost, or scope of work. |
| Application | The term "Application" means the individual application form identified as Attachment A and its required supporting attachments for grants pursuant to the enabling legislation and/or program. |
| Appropriation | A Legislative budget authorization from a specific fund to a specific agency or program to make expenditures or incur obligations for a specific purpose and period of time. |
| Authorized Representative | The designated position identified in the Resolution as the agent to sign all required grant documents including, but not limited to, Grant Agreements, Application forms (Attachment A) and payment requests. |
| CEQA | The California Environmental Quality Act as stated in the Public Resources Code Section 21000 et seq.; Title 14 California Code of Regulations Section 15000 et seq. CEQA is a law establishing policies and procedures that require agencies to identify, disclose to decision makers and the public, and attempt to lessen significant impacts to environmental and historical resources that may occur as a result of the agency's proposed Project. For more information refer to http://ceres.ca.gov/ceqa/ . |
| Consultant Services | Services which provide a recommended course of action or personal expertise, such as accounting, forestry expertise provided by a Registered Professional Forester (RPF). |
| Contractor | An entity contracting with CAL FIRE or the grantee. |
| Encumbrance | A commitment of funds guaranteeing a source of payment for a specific agreement. |
| Execution of an Agreement | The act of signing an agreement, which provides a legal basis for required performance by parties to the agreement. |
| Forestland | As in Public Resources Code § 4793, land at least 10% occupied by trees of any size that are native to California. |
| Indirect Costs | Expenses of doing business that are of a general nature and are incurred to benefit at least two or more functions within an organization. These costs are not usually identified specifically with a grant, Grant Agreement, Project or activity, but are necessary for the general operation of the organization. Examples of Indirect Costs include salaries and benefits of employees not directly assigned to a Project; functions such as personnel, business services, information technology, janitorial, and salaries of supervisors and managers. |
| Modification | An agreement modification is an informal agreement that moves dollars in the line item and task budgets not to exceed 10% of total grant amount, changes deliverable due dates, or makes a minor change in the work in accordance with |

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|---|---|
| | the intent of the legislation. It does not require a formal amendment of the grant agreement. |
| Nonprofit Organization | Any California corporation organized under Sections 501(c) (3) of the Federal Internal Revenue Code. A letter of determination certifying nonprofit status will be required prior to project approval. |
| Operating Expenses (Direct Cost) | Any cost that can be specifically identified as generated by and in accordance with the provisions or activity requirements of the agreement. |
| Payee Data Record (Std. 204) | Form Std. 204, "Payee Data Record", is required for non-governmental entities. The form must be submitted in order to establish a vendor number for payment and to have funds encumbered. |
| Personnel Services | This budgeted amount includes salaries and benefits for wage earning personnel employed by the grantee/contractor (not a subcontractor) and working on the project. |
| Project Performance Period | The period of time that the Project Scope of Work costs may be incurred, and the work described in the Project Scope of Work must be completed, billed and paid by the State. Only Eligible Costs incurred during the Project Performance Period will be paid. |
| Project | The term "Project" means the activity or work to be accomplished utilizing grant funds and match (if applicable). |
| Project Scope of Work | The term "Project Scope of Work" as used herein defines the individual scope of work or activity describing in detail the proposed tasks identified as Attachment B, as described in enabling legislation and in the Grant Agreement. |
| Project Budget Detail | The term "Project Budget Detail" as used herein defines the proposed detailed budget plan identified as Attachment C. |
| Resolution | The purpose of a resolution by a Grantee's governing body is to allow the entity to sign agreements and amendments for a specific project; i.e., it allows the designated entity to enter into an agreement with the State of California, and it designates someone to sign on behalf of the Grantee's governing board. A signed resolution must be submitted at the time the signed grant agreements are returned to CAL FIRE's Grants Analyst, Sacramento Headquarters. |

APPENDICES

APPENDIX A – CEQA Compliance

Prior to commencing any on-the-ground work CAL FIRE requires review and acceptance of CEQA documents by CAL FIRE Headquarters staff. Compliance may be accomplished by one of four different methods (a, b, c, or d) as described below.

- a. Notice of Exemption filed with the State Clearinghouse and the associated California Department of Forestry and Fire Protection, Environmental Review Report for an Exempt Project, if the Project is categorically exempt.
- b. Negative Declaration and initial study, including the checklist and Notice of Determination filed with the State Clearinghouse.
- c. Final Environmental Impact Report with initial study, including the checklist and Notice of Determination filed with the State Clearinghouse.

For b and c, a State Department of Fish and Wildlife filing fee will be required upon filing of the Notice of Determination with the State Clearinghouse. Grantees are advised to budget for this fee when preparing budgets during the application phase for grant dollars.

- d. Timber harvest permit or notice in compliance with the California Forest Practice Act and Rules. This method will be required if the project undertakes “Timber Operations” as per Public Resources Code 4527.

CAL FIRE will become lead agency for projects where the grantee is not a government agency. Fire Safe Councils are an example of nongovernmental agency grantees. Resource Conservation Districts and Fire Protection Districts qualify as government agencies and will normally assume the role of lead agency. Lead agency role means that the grantee becomes responsible for making CEQA determinations and the filing of CEQA documents with the State Clearinghouse, such as Categorical Exemptions, Negative Declarations, Notices of Completion, and Notices of Determination.

Regardless of who is lead agency, the grantee is responsible for the preparation of environmental documents for projects. Grantees should plan their budgets for this requirement when applying for grants. CAL FIRE Headquarters staff will review for approval and file all documents with the State Clearinghouse in cases where CAL FIRE is lead agency. In cases where the grantee is lead agency, CAL FIRE will require proof of filing. Whether CAL FIRE acts as a lead agency or that of a responsible agency as defined by CEQA, CAL FIRE has a responsibility to review all environmental documents for compliance with CEQA. In cases where CAL FIRE determines that CEQA compliance has not been achieved, CAL FIRE will ask for revisions to bring the documents into compliance, and in the absence of required revisions may elect to withdraw from the project.

Part of the CEQA preparation work to be done by the Grantee is to contact the local CAL FIRE archaeologist for a Preliminary Study of the proposed project to determine whether impacts to cultural resources from project activities are possible. If impacts are determined possible, the grantee will be required to retain the services of a consulting archaeologist or person with a current CAL FIRE archaeological training certificate to perform an archaeological records check, pre-field research, Native American notification, archaeological field surveys, devise site protection plans, and prepare archaeological site records and a final report in accordance with the applicable CAL FIRE document

titled "Archaeological Review Procedures for CAL FIRE Projects" at http://calfire.ca.gov/resource_mgt/archaeology-resources.php.

CAL FIRE Foresters will assist grantees in the preparation of environmental documents if necessary by answering questions and referring grantees to the appropriate guidelines for preparation of CEQA documents.

All CEQA compliance shall be completed within one (1) year from date of grant award shown in the grant agreement or the agreement may be terminated.

APPENDIX B – Grant Agreement Terms and Conditions

TERMS AND CONDITIONS OF GRANT AGREEMENT

I. RECITALS

1. This agreement is entered into between the State of California, by and through the California Department of Forestry and Fire Protection (CAL FIRE), hereinafter referred to as “STATE” and _____, hereinafter referred to as “GRANTEE”.
2. The STATE hereby grants to GRANTEE a sum (hereinafter referred to as “GRANT FUNDS” not to exceed _____ (\$_____)).
3. In addition to the terms and conditions of this agreement, the STATE and GRANTEE agree that the terms and conditions contained in the documents set forth below are hereby incorporated and made part of this agreement.
 - a. Procedural Guide for CAL FIRE Greenhouse Gas Reduction Fund Forest Management Projects for Watershed Reforestation and Restoration Program.
 - b. The submitted Application, Scope of Work, Budget Detail, maps and Exhibits.
 - c. Addendums/attachments pertaining to program specific information/requirements.

II. SPECIAL PROVISIONS

1. Recipients of GRANT FUNDS shall abide by provisions provided in this agreement, including work, shall not commence prior to the execution of this grant agreement. Any work started prior to the execution of this agreement will not be eligible for funding under the terms of this agreement.
2. As precedent to the State’s obligation to provide funding, Grantee shall provide to the State for review and approval a detailed budget, specifications, and project description. Approval by State of such plans and specifications, or any other approvals provided for in this Grant Agreement, shall be for scope and quality of work, and shall not relieve Grantee of the obligation to carry out any other obligations required by this Agreement, in accordance with applicable law or any other standards ordinarily applied to such work or activity.
3. All informational products (e.g., data, studies, findings, management plans, manuals, photos, etc.) relating to California’s natural environment and produced with the use of public funds shall be available for public use.

III. GENERAL PROVISIONS

1. Definitions

- a. The term “Agreement” means grant agreement number 8XX14XXX.
- b. The term “Project Scope of Work” as used herein means the individual scope of work describing in detail the proposed tasks.
- c. The term “Project Budget Detail” as used herein defines the proposed budget plan.

- d. The term “Grant Funds” means the money provided by the State to the Grantee in this Agreement.
- e. The term “Grantee” means an applicant who has a signed agreement for the award for grant funds.
- f. The term “Other Sources of Funds” means all matching fund sources that are required or used to complete the project beyond the grant funds provided by this agreement.
- g. The term “Project” means the development or other activity described in the “Project Scope of Work”.
- h. The term “State” means the State of California, Department of Forestry and Fire Protection.

2. Project Execution

- a. Subject to the availability of Grant monies, the State hereby grants to the Grantee a sum of money (Grant Funds) not to exceed the amount stated on Section I. RECITALS, Paragraph 2 in consideration of and on condition that the sum be expended in carrying out the purposes as set forth in the description of Project in this Agreement and its attachments and under the terms and conditions set forth in this Agreement.
- b. Grantee shall assume any obligation to furnish any additional funds that may be necessary to complete the Project. Any modification or alteration in the Project as set forth in the Application on file with the State must be submitted to the State for approval.
- c. Grantee shall complete the Project in accordance with the time of Project performance set forth on the signature page, unless an amendment has been formally granted by the State and under the terms and conditions of this Agreement. Amendments may be requested in advance and will be considered in the event of circumstances beyond the control of the Grantee, but in no event less than 90 days from the Grant Agreement expiration date. Approval of amendment is at the State’s discretion.
- d. Grantee shall comply with the California Environmental Quality Act (Public Resources Code, Section 21000, et. seq. Title 14, California Code of Regulations, Section 15000 et. seq.) and other environmental laws. A copy of the certified CEQA document must be provided to CAL FIRE before any Grant Funds are made available for any project activity that could directly impact the environment (e.g. cutting, piling or burning bush, masticating, dozer work, etc.). CEQA compliance shall be completed within one (1) year from start date of the Agreement.
- e. Grant funds will be made available in advance of CEQA compliance for project activities that do not have the potential to cause a direct environmental impact (e.g. project planning, locating and marking property or project boundaries, contacting and signing up landowners, etc.).
- f. Grantee certifies that the Project Scope of Work complies with all local and State laws and regulations.
- g. Grantee shall permit periodic site visits by representative(s) of the State to ensure program compliance and if work is in accordance with the approved Project Scope of Work, including a final inspection upon Project completion.
- h. Grantee agrees to submit in writing to the State for prior approval any deviation from the approved Project Scope of Work.
- i. Changes in Project Scope of Work must continue to meet the need cited in the original application or they will not be approved. Any modification or alteration in the Project as set forth in the Grant Proposal Project Scope of Work on file with the State must be submitted to the State for prior approval.

- j. The grantee, and the agents and employees of the Grantee, in the performance of this agreement, shall act in an independent capacity and not as officers, or employees or agents of the State.

3. Project Costs and Payment Documentation

- a. The Grant Funds to be provided to Grantee under this Agreement will be disbursed for eligible costs as follows, but not to exceed in any event the amount set forth on Section I. RECITALS, Paragraph 2 of this agreement.
- b. Payment by the State shall be made after receipt of an acceptable invoice and approval by a duly authorized representative of the State. The Grantee shall submit an invoice for payment to the grant officer of the State. A final invoice shall be submitted no later than 30 days after completion or expiration of this agreement, as specified in this Agreement.
- c. For services satisfactorily rendered, and upon receipt and approval of invoices for payment, the State agrees to compensate the Grantee for actual expenditures incurred in accordance with the rates specified herein, which is attached hereto and made a part of this Agreement.
- d. Grantee shall submit, in arrears, not more frequently than once a month, and no less than quarterly, an invoice to the State for costs paid by the Grantee pursuant to this Agreement. Each invoice shall contain the following information: The Agreement number, the dates or time period during which the invoiced costs were incurred; expenditures for the current invoice and cumulative expenditures to date by major budget category (e.g., salaries, benefits, supplies, etc.); appropriate supporting documentation, project progress reports and the signature of an authorized representative of the Grantee as detailed in the Invoice Guidelines of the Procedural Guide for CAL FIRE Greenhouse Gas Reduction Fund Forest Management Projects for Watershed Reforestation and Restoration Program.
- e. Grant Funds in this award have a limited period in which they must be expended. All Grantee expenditures must occur prior to the end of the Project Performance Period of this Agreement.
- f. Except as otherwise provided herein, the Grantee shall expend Grant Funds in the manner described in the Project Budget approved by the State. The dollar amount of an item in the Project Budget may be increased or decreased by up to ten percent (10%) of the Budget Item through reallocation of funds from another item or items, without approval by the State; however, the Grantee shall notify the State in writing when any such reallocation is made, and shall identify both the item(s) being increased and those being decreased. Any increase or decrease of an item of more than ten percent (10%) of the Budget Item must be approved in writing by the State. A formal approved amendment is required to increase the total amount of Grant Funds.
- g. Grantee shall promptly submit records at intervals and in such form as State may request. Payment by the State shall be made after approval of the project. The Grantee shall submit each Invoice for Payment with supporting documentation and progress reports to the grant officer of the State. Invoices shall be signed and dated by an authorized Grantee representative and include the Agreement Number and shall be submitted not more frequently than monthly in arrears to:

California Department of Forestry & Fire Protection (CAL FIRE)
Grants Management Unit
PO Box 944246
Sacramento, CA 94244-2460

- h. Final invoice for payment must be presented upon completion of agreement and no later than 30 days after the termination of the agreement.

4. Budget Contingency Clause

- a. If funding for any fiscal year is reduced or deleted for purposes of this program, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an agreement amendment to Grantee to reflect the reduced amount.

5. Project Administration

- a. Grantee shall promptly submit written Project reports as the State may request. In any event, Grantee shall provide the State a report showing total final Project expenditures and matching funds, if applicable.
- b. Grantee agrees to use any Grant Funds reimbursed by the State under the terms of this Agreement solely for the Project herein described.
- c. Grantee shall submit all documentation for Project completion and final reimbursement within 30 days of Project completion.
- d. After Project commencement, this Agreement may be amended only by written consent of both the State and Grantee. Any request by the Grantee for amendments must be in writing stating the amendment request and reason for the request. The Grantee shall make requests in a timely manner and in no event less than 60 days before the effective date of the amendment.
- e. Grantee must report to the State all sources of other funds for the Project. The State will request an audit of any Project that does not fully comply with this provision.

6. Financial Records

- a. Grantee shall maintain satisfactory financial accounts, documents and records for the Project and agrees to make them available to the State for auditing at reasonable times. Grantee shall also retain such financial accounts, documents and records for three (3) years after final payment and one (1) year following an audit.
- b. Grantee and State agree that during regular office hours each of the parties hereto and their duly authorized representatives shall have the right to inspect and make copies of any books, records or reports of the other party pertaining to this Agreement or matters related thereto. Grantee shall maintain and make available for inspection by the State accurate records of all of its costs, disbursements and receipts with respect to its activities under this Agreement.
- c. Grantee shall keep such records as State shall prescribe, including records which fully disclose (a) the disposition of the proceeds of state funding assistance, (b) the total cost of the project in connection with such assistance that is given or used, (c) the amount and nature of that portion of the project cost supplied by other sources, and (d) any other such records as will facilitate an effective audit. All records shall be made available to the State for auditing purposes at reasonable times. Such accounts,

documents, and records shall be retained by the Grantee for at least three years following final payment.

- d. Grantee shall use any generally accepted accounting system.

7. Project Termination

- a. This agreement may be terminated by State or Grantee upon the giving of written notice to the other party thirty (30) days in advance.
- b. If either party terminates the agreement prior to the completion of the project, the Grantee shall take all reasonable measures to prevent further costs to the State under the Agreement and the State shall be responsible for any reasonable and non-cancelable obligations incurred by the Grantee in the performance of this Agreement prior to the date of the notice to terminate, but only up to the undisbursed balance of funding authorized in this Agreement.
- c. Failure by the Grantee to comply with the terms of this Agreement may be cause for suspension of all obligations of the State hereunder.
- d. Failure of the Grantee to comply with the terms of this Agreement shall not be cause for the suspension of all obligations of the State hereunder if in the judgment of the State such failure was due to no fault of the Grantee. At the discretion of the State, any amount required to settle at minimum cost any irrevocable obligations properly incurred shall be eligible for reimbursement under this Agreement.
- e. Final payment may not be made until the Project conforms substantially to this Agreement.

8. Hold Harmless

- a. Grantee shall defend, indemnify and hold State, its officers, employees and agents harmless from and against any and all liability, loss, expense (including reasonable attorney's fees), or claims for injury or damages arising out of the performance of this agreement but only in proportion to and to the extent such liability, loss, expense, attorney's fees or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of Grantee, its officers, agents or employees. The duty of the grantee to indemnify and hold harmless includes the duty to defend as set forth in Civil Code Section 2778. This agreement supersedes the grantee's right as a public entity to indemnity (see Government Code Section 895.2) and contribution (see Government Code Section 895.6) as set forth in Government Code Section 895.4.
- b. The grantee waives any and all rights to any type of express or implied indemnity or right of contribution from the State, its officers, agents or employees, for any liability resulting from, growing out of, or in any way connected with or incident to this agreement.
- c. Nothing in this agreement is intended to create in the public or in any member of it rights as a third-party beneficiary under this agreement.

9. Indemnification Clause

FEDERAL:

The United States shall be liable, to the extent allowed by the Federal Tort Claims Act 28 United States Code 2671-2680, for claims of personal injuries or property damage resulting from the negligent or wrongful act or omission of any employee of the United

States while acting within the scope of his or her employment, arising out of this Agreement.

STATE:

The State of California shall be liable, to the extent allowed by law and subject to California Government Code, Division 3.6, providing for the filing of tort claims against the State of California, for personal injuries of property damage resulting from the negligent or wrongful act or omission of State employees while acting within the scope of his or her employment, arising out of this Agreement.

10. Nondiscrimination

- a. The State prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, sex, marital status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program. The grantee shall not discriminate against any person on any of these bases.

11. Incorporation

- a. The Grant Guidelines and the Project Scope of Work, Budget Detail and any subsequent changes or additions to the Project Scope of Work, Budget Detail approved in writing by the State are hereby incorporated by reference into this Agreement as though set forth in full in this Agreement.

12. Severability

- a. If any provision of this Agreement or the Project Scope of Work thereof is held invalid, that invalidity shall not affect other provisions or applications of this Agreement which can be given effect without the invalid provision or application, and to this end the provisions of this Agreement are severable.

13. Waiver

- a. No term or provision hereof will be considered waived by either party, and no breach excused by either party, unless such waiver or consent is in writing and signed on behalf of the party against whom the waiver is asserted. No consent by either party to, or waiver of, a breach by either party, whether expressed or implied will constitute consent to, waiver of, or excuse of any other, different, or subsequent breach by either party.

14. Assignment

- a. This Agreement is not assignable by the Grantee either in whole or in part.

APPENDIX C – Eligibility and Grant Selection Criteria

Below are the eligibility and grant selection criteria for GGRF Watershed Reforestation and Restoration Projects. These criteria will be the basis for the scope of work for grantees and be used for evaluating and ranking projects.

Eligibility

1. Eligible participants may be landowners, Native American tribes, public agencies, or nonprofit organizations. Per the Public Resources Code, Section 30910 (c) "Nonprofit organization" means any California corporation organized under Section 501(c)(3) of the federal Internal Revenue Code.
2. Projects must be on forestlands damaged by a fire or other catastrophic event and when applicable must meet California Forest Practice Rules upon completion of the project.
3. In order to be eligible for funding under this program, a project must demonstrate that it will achieve and maintain a net reduction in GHG emissions. All projects shall be designed to further the goals of the California Global Warming Solutions Act of 2006 (Assembly Bill 32, Health and Safety Code Section 38500 et. seq). Additionally, projects should be designed to meet some or all of the GHG emission reduction objectives stated in this guidelines document. Projects that do not immediately result in a GHG benefit must be sustained at least until a net GHG benefit is realized and maintained for 10 years. All projects shall include a methodology and calculate and quantify the GHG emission benefits resulting from the project. Factors that should be addressed include.
 - Increased direct carbon sequestration through tree growth and long-term management of forestlands.
 - Whether the project helps to protect forest stands by reducing hazardous fuel load potential that could lead to large wildfires. Improved forest health, reliance, and resistance to wildfire fire and pests.
 - Solid wood products or biomass generated from the project and the related contributions to long term carbon storage and offset the use of fossil fuels for energy production.
 - Emissions resulting from implementing the project.

A suitable methodology to calculate and quantify the GHG benefits is described in the Air Resources Board's "Compliance Offset Protocol US Forest Projects"¹. All projects should include a crediting period (e.g., 40 years) and project life (e.g., 100 years). All treatments and growth projections will use commonly accepted methods that represent standards and practice in the forestry profession. GHG reductions will be estimated as the difference between a no

¹ Air Resources Board. 2014. Compliance Offset Protocol U.S. Forest Projects. <http://www.arb.ca.gov/regact/2014/capandtrade14/candtusforestprojectsoal.pdf>

project alternative and the proposed project, at the end of the project crediting period. Additional guidance is provided in the document titled “Guidance and Methods for Quantifying Greenhouse Gas Emissions” at www.fire.ca.gov/grants/grants.php.

Applicants must provide a methodology and implementation framework for pre and post-project evaluation/monitoring of site conditions and project results. Grantees will be required to implement the evaluation /monitoring framework. The Air Resources Board (ARB) is developing funding guidelines that may require additional provisions such as additional project monitoring and reporting requirements.

4. Projects are for large-scale reforestation efforts where watershed specific seedlings will be grown, planted with specific reforestation practices and will have follow-up treatments. Projects will typically include multiple landowners. Reforestation prescriptions will focus on immediate replanting seed zone specific trees, including superior seed stocks where available. Projects include other GHG practices that maintain, protect and support carbon storage and avoid GHG emissions. These include site preparation and watershed protection activities such as selectively removing and utilizing damaged trees and unwanted biomass to reduce future fire hazards and increase productive carbon storage with improved tree growth and resilience.
5. Applicants who are awarded grants will be required to provide CAL FIRE project information to support entry in CAL MAPPER, CAL FIRE’s geo spatial database framework that facilitates mapping and monitoring of GGRF and other CAL FIRE vegetation treatment projects. Information from grantees will include shapefiles or feature class of project boundary (use Esri Arc GIS, Esri Arc View geodatabase, or Google Earth KMZ file types), project type, grantee contact information, project activities and costs, and other information. CAL MAPPER information from grantees will be required at specific times throughout the effective period of the grant including, at initial grant award and at periodic invoice billing submissions.
6. All grants must include a determination for the grant amount requested. Methodology must include the cost of the grant minus any income from forest product or other revenues received from the grant implementation.
7. A Registered Professional Forester (RPF) shall design the vegetation management prescription and have involvement/oversight in the removal of the vegetation. The RPF shall also develop and describe the GHG quantification methodology and calculate the GHG benefits of the project.
8. All project work related to the grant must be completed by December 31, 2019.

Grant Selection Criteria

1. **Scope of Work** - Project is appropriate for the program with clear, complete articulation of intended work, and high achievement of all eligibility and selection criteria contained in the program’s Procedural Guide.
2. **Budget** - The project clearly shows how the budget will be spent; costs are reasonable in proportion to the proposed deliverables.

3. **Greenhouse Gas Benefits and Emission Reduction Quantification and Methodology –**

The project includes a quantitative estimate of the greenhouse gas reduction in terms of metric tonnes of carbon dioxide equivalent. The estimate quantifies and compares the emission reductions and carbon sequestration of the project vs. those resulting from no implementation of the project.

Project has substantial GHG net emission reductions or carbon sequestration on a tonnes/acre, cost/tonne, and/or total tonne basis.

The GHG benefits disclosure clearly justifies how the project will further the objectives of AB 32 and describes how the proposed project will reduce greenhouse gas emissions or provide benefits from carbon sequestration, reducing potential wildfire impacts, offsetting fossil fuel use, increasing forest health, and other GHG benefits.

The project includes a detailed description and a technically defensible methodology is used to calculate and quantify the greenhouse gas benefits resulting from the project. Numerical calculations can be reviewed and validated. Assumptions used to calculate benefits are clearly stated.

The project includes a methodology and implementation framework for pre and post-project evaluation/monitoring of site conditions and project results. The pre and post-project evaluation and monitoring addresses site conditions and project results relative to vegetation conditions, carbon flux, wildfire hazards, and other related factors. The methodology and framework demonstrates reliable estimates, assessments and verification of GHG benefits attainment. A timeframe of evaluation and monitoring activities is included.

CAL FIRE will favor those project applications where the project carbon calculation methodology is clearly explained, and the carbon estimates are deemed reliable and accurate.

4. **Co-Benefits** - A description of the co-benefits of the project is included. Preferred co-benefits include, but are not limited to, socio-economic benefits, public health, air quality, jobs, and projects located in a disadvantaged community. In addition to achieving the greenhouse gas emissions objectives, the project has a documented assessment of need for providing protection of watershed values, and provides other co-benefits of water quality, water quantity, reduced forest pests, airshed improvements in non-attainment air basins, invasive weed control, improvement to wildlife or endangered habitat, etc.
5. **Local Fire Plan or Other Forest Management Plan Compatibility** - Project is included in a local fire plan or a conservation plan.
6. **Collaboration and Community Support** - Project shows broad community support, partnerships or collaborative efforts to achieve the GHG benefits. Collaboration and support is demonstrated by presence of planning, involvement by partners, and funding from private and public partnerships contributing to the project. CAL FIRE will favor those projects with substantial levels of collaboration and community support.
7. **Assurance of Attaining GHG Emission Reduction Benefits** – A description is included of agreements and other characteristics that will take place to ensure project goals,

accomplishments, and GHG emission reduction benefits are maintained, realized, and long lasting. CAL FIRE will favor those projects which demonstrate assurance of accomplishment of GHG emission reduction benefits.

8. **Project Contribution to Multiple GGRF Programs** - Project includes other CAL FIRE GGRF GHG emission reduction/carbon sequestration program activities. CAL FIRE will favor those projects that include multiple GGRF program activities.
9. **Grantee's Past Forest Management History and References** - Applicant has and documents a high potential for completing the project and ability and desire to meet the GGRF grant goals.
10. **Readiness** - Grantee is ready to implement project and has capability to begin work on the project within 12 months of the grant award.
11. **Fire Hazard Severity Zones (FHSZ)** - Project is located in a high or very high fire hazard severity zone.
12. **Biomass** - Project focuses on utilizing biomass and other solid wood products. An example is wood products from precommercial thinning vegetation treatments.
13. **Reforestation Priority** - Projects that reforest up to 500 acres of highly productive land that is "available" for long term forest management are priority projects. Other priorities are reforestation of productive lands that have significant public benefit but minor economic benefit to the landownership.
14. **Vegetation Treatment** - Project includes vegetation treatment forestry prescriptions not related to reforestation activities with goals of reducing fire hazards, improving tree growth, stabilizing carbon in retained trees, increasing forest resilience, species diversity and forest health, controlling pests, and/or utilizing vegetative material removed during the treatment. Stands selected for treatments exhibit tree stress indicators, above average tree mortality, and the likeliness that forest stands selected will respond to the vegetative treatment. Post-harvest forest conditions increase quadratic mean diameter of the stand, retains healthy, locally diverse, and vigorous dominant and co-dominant trees, and canopy closure of dominant and dominant trees. Logging slash and debris are treated to reduce fire hazards and meet GHG objections.
15. **Reforestation Practices** - Reforestation practices and use of sound watershed-wide reforestation prescriptions focused on treating damaged watersheds with a goal of re-establishing a diverse, natively occurring forest. Implementation of these prescriptions and related activities will result in improved watershed functions, forest resilience, stabilize carbon in retained tree growth, and utilize biomass material removed for the treatment.
 - a. The seed used for seedlings will be from the seed zone or climate adapted zones as approved by USFS/CAL FIRE protocol and, where available in the seed zone, improved genetic seed for rapid carbon sequestration/tree growth.
 - b. Post-project results in an establishment of healthy and vigorous seedlings and the reforestation process will include the following standards:

- c. Planted seedling stock will meet or exceed the USFS Region 5 nursery seedling protocol for conifer seedlings (USFS Seedling Guideline).
- d. Post-project conditions results in at least 75% first year success.
- e. The reforestation sites are 75% “free to grow” from unwanted competition from weeds, grass and brush at the completion of the project or funding request.
- f. Standing dead or dying trees and brush are generally removed with consideration given to other natural resource needs including soil health.

16. **Disastrous Event** - Project area has been adversely affected by wildfire or other naturally occurring catastrophic event(s).

17. **Other Restoration Activities and Benefits** - Project includes and has non-GGRF funding for other watershed restoration activities, such as road drainage repairs/ improvements, wildlife enhancements, and long-term fire prevention/infrastructure developments.

For projects that have the same ranking based on the above factors, project priority for funding will be based on the cost-benefit ratio of the GHG benefit and match for other watershed restoration activities, such as road drainage repairs/ improvements, wildlife enhancements, and long-term fire prevention/infrastructure developments.

18. **Capability to Plant Seedlings** – Project has partnership(s) or agreements in place to implement project, access technical assistance, procure seed/seedlings, and immediately grow seedlings with high quality local seed. Such capabilities and partnerships would provide project to:

- a. Immediately grow seedlings to USFS R 5 standards (or better) to be available for the subsequent planting season.
- b. Begin site preparation and planting on the project within 12 months (or the following planting season) of the grant award.

19. **Reforestation and Conservation Practices Cost** - Reforestation and other conservation practices are compared to published USDA NRCS and CFIP cost rates.

Concept Proposal

For CAL FIRE Administrative Use Only

Proposal Tracking #: CalMapper ID:

**Department of Forestry and Fire Protection (CAL FIRE)
Greenhouse Gas Reduction Fund - Forest Management Projects
Concept Proposal
for
Demonstration State Forest Research, Forest Pest Control, Fuels Reduction
and Watershed Reforestation & Restoration**

1. Project Name/Title

2. County

3. Organization

Organization Type If Other, Please specify

Responsible Person to be Contacted Title

First Name Last Name

Address 1

Address 2

City State Zip Code

Phone Number Secondary Phone Number

Email Address Fax Number

4. From which Program(s) are funds being requested?

Demonstration State Forest Research

Forest Pest Control

Watershed Reforestation & Restoration

Fuels Reduction

5. Project Funding Requested

6. Grant Period - Please provide the estimated start date and completion date for your project. Projects **MUST** be completed by December 31, 2019. Note that final billing is due 30 days after project completion. Please use MM/DD/YYYY format.

Project Start Date

Project Completion Date

7. Project Location: Please identify the Township, Range, Section(s), Base Meridian and County(s) covered in your project. (Maximum 500 characters.)

8. Approximate Acres to be treated

9. Is the project in an existing management plan (e.g. Unit Fire Plan, CWPP, THP)?

Yes

No

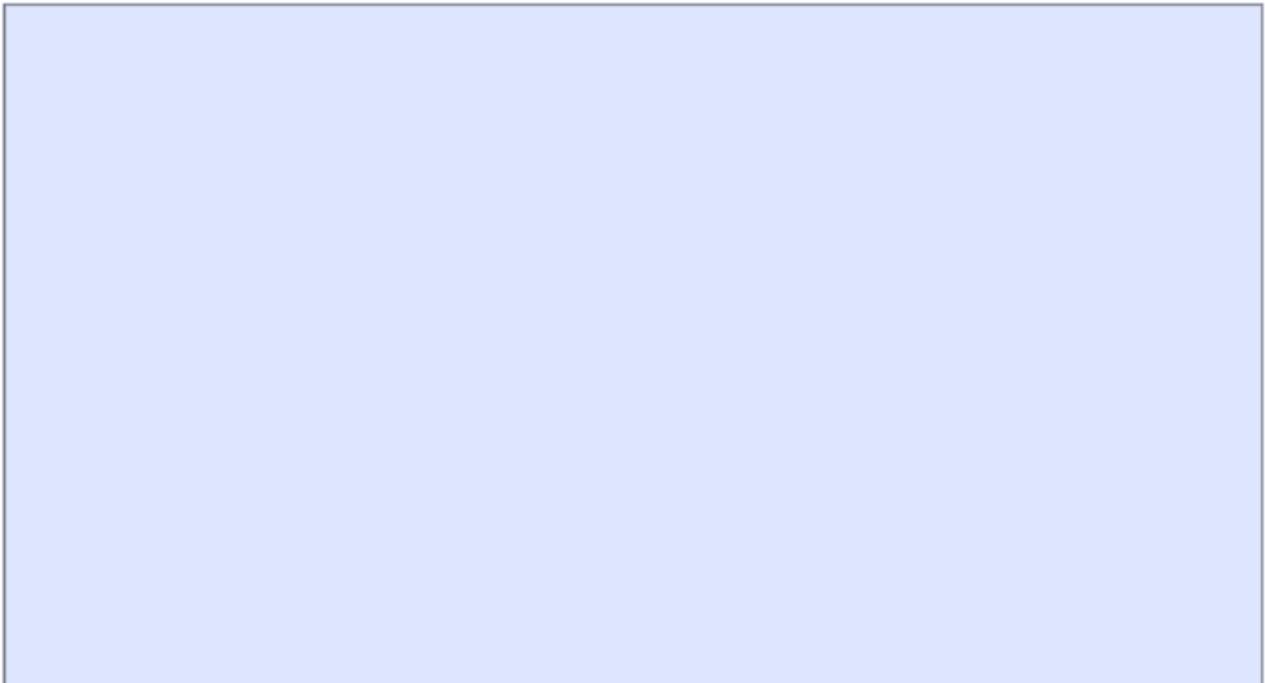
Tracking #:

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10. Project Description: What are the components/parameters of the project? *(Maximum 3000 characters, including spaces.)*



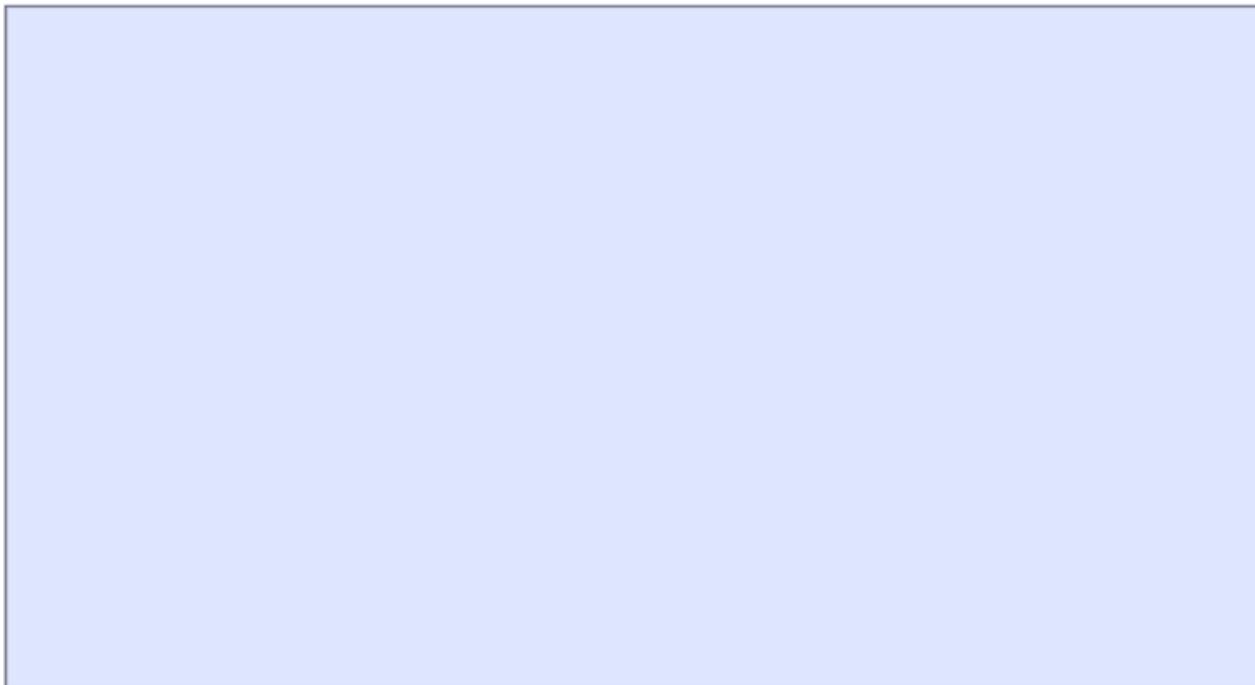
11. Project Objectives: What are the project objectives? What is the project going to achieve? *(Maximum 3000 characters, including spaces.)*



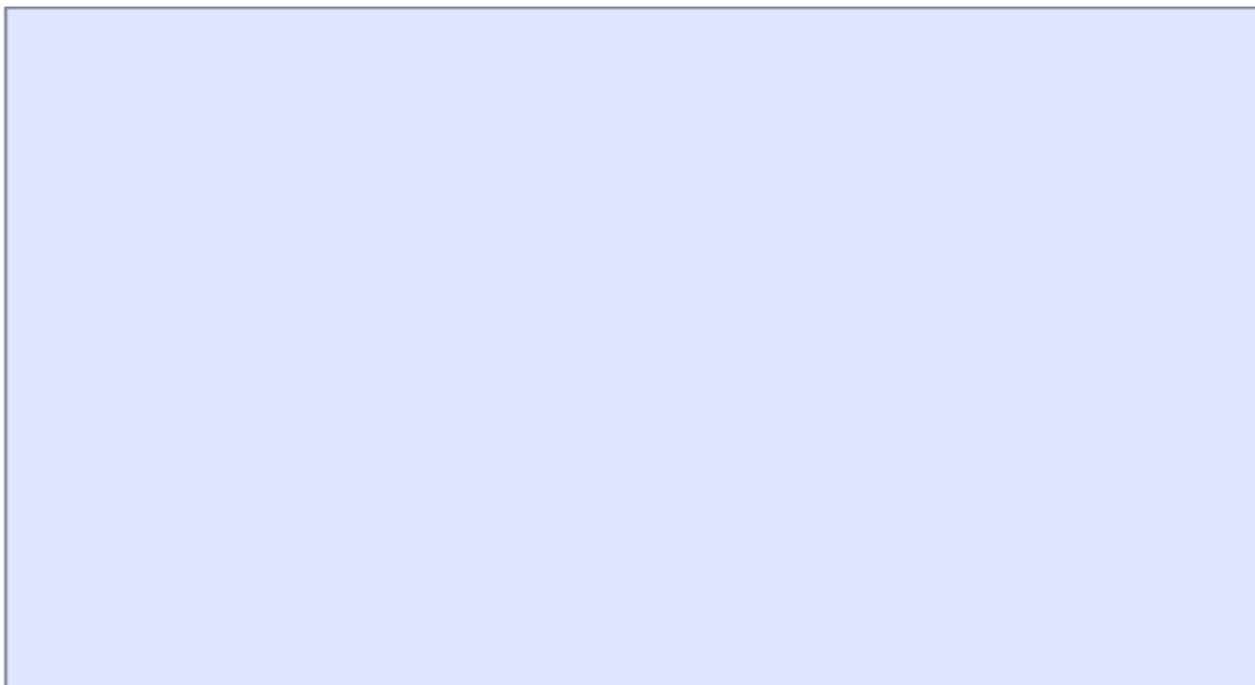
Tracking #:

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12. Describe how the proposed project will reduce greenhouse gas (GHG) emissions or provide GHG emission reduction benefits. All projects must be designed to meet some or all of the GHG emission objectives of AB 32 and purposes listed in the appropriate Procedural Guidelines. Include an outline of the methodology to be used to calculate and quantify the GHG emission benefit resulting from the project. *(Maximum 3000 characters, including spaces.)*



13. Describe the co-benefits of the project, if any. *(Maximum 3000 characters, including spaces.)*



14. Concept Proposal completed by:

Name, Title

Date

Print Form

Submit by Email

Thank you for your Concept Proposal. Please save a copy and print a copy of the Concept Proposal for your records. Submit the Concept Proposal using the "Submit by Email" button above. You should receive a confirmation email shortly after submittal. You may also mail in the documents to the address below, however in order to evaluate your submittal in a timely fashion, it is highly recommended that your Concept Proposal be submitted via email.

**Mailing Address: CAL FIRE
Grants Management Unit
P.O. Box 944246
Sacramento, CA 94244-2460**

Tracking #

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Attachment A –Project Application



For Administrative Use Only

Grant Agreement
No.

CalMapper ID:



ATTACHMENT A

Department of Forestry and Fire Protection (CAL FIRE) Greenhouse Gas Reduction Fund Projects (GGRF)

2014/2015 Project Application

for

Demonstration State Forest Research

Forest Pest Control

Fuels Reduction

Watershed Reforestation and Restoration

(Do not use this application for CFIP, Urban Forestry or Forest Legacy grant requests)

Please fill out this form completely. Be sure to save a copy of this form for your records. Submit one printed copy with original signature(s) and all supporting materials to: California Department of Forestry and Fire Protection, Attention: Grants Management Unit/Greenhouse Gas Reduction Fund Grants, P.O. Box 944246, Sacramento, CA 94244-2460. E-mail an electronic [copy and attachments to CALFIRE.Grants@fire.ca.gov](mailto:CALFIRE.Grants@fire.ca.gov). Be sure to include all attachments. (No signature required for electronic submission.)

1. Project Tracking #:

Project Name/Title:

County: CAL FIRE Unit/Contract: County:

2. Sponsoring Organization:

Organization Type:

Project Manager Title:

First Name: Last Name:

Address 1:

Address 2:

City: State: Zip Code:

Phone Number: Secondary Phone Number:

Email Address: Fax Number:

3. For which GGRF program is funding being requested?

4. Grant Period: Please provide the estimated start date and completion date for your project. Projects **MUST** be completed by December 31, 2019. Note that final billing is due 30 days after project completion. Please use MM/DD/YYYY format.

Project Start Date: Project Completion Date:

5. Project Location: If your project has a physical location, identify the legal description of the area where the project will be conducted, ownership type and enter the information in NAD 83 - degrees, minutes and seconds in whole numbers. Enter Longitude as a positive number.

Township, Range, Section(s), Base Meridian:

Ownership type: Describe the landownership categories where the project will be conducted:

NAD 83 - degrees, minutes and seconds in whole numbers

Latitude N ° ' '' **Longitude W** ° ' ''

Latitude must be between 32 and 42 degrees. Longitude must be between 114 and 125 degrees. Latitude and Longitude minutes and seconds must be between 0 and 60. For planning or research projects, use a central point in the project for the general area covered by the project.

Please attach a data file with geographic information describing your project location. CAL FIRE accepts ESRI ArcView GIS shapefiles, ESRI ArcView geodatabases and Google Earth KMZ or KML files. **File naming convention:** Features should be named with the Tracking # and Feature Type. Example: 14-GHG-FMP-01-0001-GGRF ID-Unit-Project Area.kmz e.g. 14-GHG-FMP-01-0001-FPC-TUJ-Project Area.kmz

"Project Area" is the general area where project activities will occur. All projects must have a project area.

File Name *Data Type*

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID

Project Name:

File Naming Convention: Replace XXXX in the file name with the project's ID number. Replace UUU with the 3-letter Unit identifier in item 1. Replace GGRF ID with Program Identifier in item 3.

6. Project Area Statistics:

Does your project include a geographic area where activities will be conducted? Yes No

If yes, provide the project area size (the area within the perimeter of where the various activities will take place) and the size of the treatment area (the precise area where activities such as fuels reduction vegetation management will occur).

If No, (such as projects which are solely research), skip questions 7-9, 11, 15, 24 and 25.

Project Area (acres): Treatment Area (acres):

7. Limiting Factors:

Are there any existing forest or land management plans; Conservation Easements; Covenant, Conditions & Restrictions (CC&R's); matters related to zoning (Timber Production Zone); use restrictions, regulations, or other factors that can or will limit proposed activity?

If checked, describe existing plan(s) and the limitations, if any, in the Scope of Work document.

8. Timber Harvest Plans:

Is there a timber harvest plan, exemption, or emergency notice document on any portion of the proposed project area for which a 'Notice of Completion or Stocking' has not been filed or approved by CAL FIRE?

If checked, provide the THP identification number and describe the relationship to the project in the Scope of Work document.

THP, EX, or EN ID Number:

9. CEQA Compliance:

Is there an existing California Environmental Quality Act (CEQA) document that addresses this project or can be used to meet CEQA requirements of the project? Yes No N/A*

* For planning or other projects which do not involve environmental disturbances and are exempt from CEQA, select 'Not Applicable'.

If Yes, please indicate the CEQA document type:

Document Identification No.

If No, describe how compliance with CEQA will be achieved:

10. Is the project located on or pertaining to forestland? Yes No

11. Stocking: Will the treatment area meet the California Forest Practice Rules for stocking standard immediately upon completion of the project?

Yes No

If "No", please explain:

12. Name and license number of the Registered Professional Forester preparing the vegetation management prescriptions, GHG calculations, and administering the forest management project implementation:

RPF Name License No.

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID

Project Name:

13. Attachment B, Scope of Work/ Project Description:

Describe your project. Be very specific and accurately describe the proposed project from beginning to end. You may use the "Concept Proposal" format but this section must completely describe your proposed project and scope of work. Include project time table, description of techniques used, type of final products and expected project benefits.

Attach this as a separate document with the title "Scope of Work- 14-GHG-FMP- 01-XXXX-GGRF ID-Unit."

For digital documents, use the following file name format: 14-GHG-FMP-01-xxxx-GGRF ID-Unit-SOW.doc

14. Attachment C, Budget Detail and Payment Provisions:

Enter the total amount of grant funding requested:

Dollar Amount

Enter your project budget in a format consistent with the sample budget in the Procedural Guidelines. Attach the Budget as a separate document in excel spreadsheet format with the title "Attachment C, Budget Detail and Payment Provisions 14-GHG-FMP- 01-XXXX-GGRF ID-Unit." The sample budget document is found at <http://www.fire.ca.gov/grants/grants.php>.

For digital documents, use the following file name format: 14-GHG-FMP-01-xxxx-GGRF ID-Unit-BUDGET.xls

If revenues are received from forest products produced as part of the project implementation, are the revenues estimated and deducted from the total amount of grant funding requested? Yes No

Is the purchase of equipment proposed in the budget? Yes No

If yes, enter the information requested in "Eligible Costs-Equipment", as shown in the Procedural Guidelines, in a separate document titled "Supplemental Information, 14-GHG-FMP- 01-XXXX-GGRF ID". For digital documents, use the following file name format: 14-GHG-FMP- 01-XXXX-GGRF ID-Unit-Supplemental.doc

15. Map

Provide a map or maps of not less than 1:24,000, or an adequate scale to show planned project area, measuring 8 1/2 by 11 inches, that delineates project boundaries, treatment types by area, and any other necessary information. Include a map legend that identifies these features. The use of color maps are acceptable.

Attach the map as a separate document in pdf format with the title "Project name- 14-GHG-FMP- 01-XXXX-GGRF ID-Unit"

For digital documents, use the following file name format: 14-GHG-FMP-01-0001-xxxx-GGRF ID-Unit-MAP.PDF

16. Greenhouse Gas Benefits and Emission Reduction Quantification and Methodology:

In order to justify how the project will further the objectives of AB 32, the California Global Warming Solutions Act of 2006, provide a quantitative estimate of the greenhouse gas emission reduction and carbon sequestration, in terms of metric tonnes of carbon dioxide equivalent, that will result from implementing your proposed project. The quantitative estimate must be derived from comparing the emission reductions and carbon sequestration of the project vs. those resulting from no implementation of the project.

Include the following: Enter the net emission reduction quantity in metric tonnes of carbon dioxide equivalent (CO2e).

Describe how the proposed project will reduce greenhouse gas emissions or provide benefits from carbon sequestration, reducing potential wildfire impacts, offsetting fossil fuel use, increasing forest health, or other GHG benefits.

A detailed description of the methodology that was used to calculate and quantify the greenhouse gas emission benefits resulting from the project. Numerical calculations that can be reviewed and validated are required. Assumptions used to calculate benefits are clearly stated.

A methodology and implementation framework for pre and post-project evaluation/monitoring of site conditions and project results. The evaluation and monitoring should address vegetation conditions, carbon flux, wildfire hazards, and other related factors. The methodology and framework should demonstrate reliable estimates, assessments, and verification of GHG benefits attainment. A timeframe of evaluation and monitoring activities must be included.

If the project does not entail physical treatments that will directly reduce greenhouse gases, describe and justify how the research or other project will contribute to help reduce greenhouse gases.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP- 01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP- 01-XXXX-GGRF ID-Unit-Supplemental.doc

A Registered Professional Forester must develop the methodology and provide the calculated estimate and specific detailed steps involved in the analysis and quantification of forest carbon sequestered and/or avoided GHG emissions.

As part of the competitive evaluation process, CAL FIRE will favor those project applications where the project carbon calculation methodology is clearly explained, and the carbon estimates are deemed reliable and accurate.

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID

Project Name:

17. Co-Benefits

Describe the co-benefits of the project, if any. This includes, but is not limited to, socio economic benefits, public health, air quality, jobs, and projects located in a disadvantaged community (as defined by CalEnviroScreen 2.0 at <http://oehha.ca.gov/ej/ces2.html>)

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

18. Local Fire Plan or Other Forest Management Plan Compatibility:

Is the project in, consistent with, or built on a larger plan that deals with wildfire hazard reduction or conservation of forests? If so, select all that apply and discuss relationship of project to plan.

- CAL FIRE Unit Strategic Fire Plan
- Homeowners' Association Plan
- Local Fire Department Plan
- County Fire Department Strategic Fire Plan
- Conservation Plan (e.g. watershed plan, pest management plan, Non Industrial Timber Management Plan, CFIP Management Plan)
- Fire Safe Council Action Plan
- FIREWISE Community Assessment
- Community Wildfire Protection Plan
- Other Local Plan

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

19. Collaboration and Community Support:

Yes No

Does this project demonstrate a collaborative effort with other local partners and community benefits?

If yes, describe any community support, agency support, partnerships, landowner commitments or collaborative efforts associated with this project that will help ensure the achievement of the GHG benefits. This includes presence of strategic planning, involvement by partners and local communities where the project located, and funding from private and public partnerships contributed to the project.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

20. Assurance of Attaining GHG Emission Reduction Benefits:

Describe any agreements and other characteristics that will take place to ensure project goals, accomplishments, and GHG emission reduction benefits are maintained, realized, and long lasting. This can be demonstrated by inclusion of long term monitoring of emission reduction trends, presence of a long term forest management plan, a history of good forest stewardship practices by the landowner/land managers, and/or presence of a conservation easement, Timber Production Zoning, or other covenants which preclude conversion to non forest uses.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

21. Project Contribution to Multiple GGRF Programs:

Describe the project's inclusion and/or contribution to other CAL FIRE GGRF GHG emission reduction/carbon sequestration activities. Examples are projects which include reforestation, forest pest control, wildfire hazard reduction, biomass utilization, or research activities within the project's proposal.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID

Project Name:

22. Grantee's Past Performance History

Describe previous forest management work by the applicant that shows a high potential for completing the project and ability and desire to meet the GGRF grant goals. Include grantee qualifications.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

23. Readiness

How soon after the grant award can the applicant begin work on the project?

- 0-6 months 12-18 months
 6-12 months more than 18 months

24. SRA Fire Hazard Severity Zones (FHSZ):

How does the project relate to State Responsibility Area (SRA) Fire Hazard Severity Zones (FHSZ)? For projects in SRA, use the SRA Fire Hazard Severity Zone ratings at: http://calfire.ca.gov/fire_prevention/fire_prevention_wildland_zones.php

Please provide an approximate number of acres or percentage of the project area in each zone.

| FHSZ Rating | Acres | Percent |
|------------------------------------|----------------------|------------------------|
| Very High | <input type="text"/> | <input type="text"/> % |
| High | <input type="text"/> | <input type="text"/> % |
| Moderate | <input type="text"/> | <input type="text"/> % |
| Non SRA (Federal or Local Area) | <input type="text"/> | <input type="text"/> % |
| TOTAL | | % |

*Note: Total acres must be the same as Item 6 Project Area (Acres).
Percent Note: Total % must be 100%*

25. Biomass Utilization

Does the project include utilization of biomass of other solid wood products? Yes No

What volumes of vegetation treated will be utilized for biomass and other solid wood products (measured in Bone Dry Tons)?

What percentage of vegetation treated will be utilized for biomass and other solid wood products?

Does the project include pile burning or other open burning of vegetative material generated from the project? Yes No

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID

Project Name:

-----**Program Specific Questions**-----

Answers only the questions that pertain to the GGRF Program for which you applied.

Demonstration State Forest Research Program Specific Questions #26-#29

26. Applicant Qualifications

Applicants must have the scientific capacity to complete a proposed research or monitoring project. A description of the grantee's qualifications is required and should include a brief list of similar types of projects or participation successfully completed with a sample of such work and references. Include a description of the qualifications of each of the lead and supporting personnel to be employed on the project and percentages of time of the work to be done by each.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

27. Research/Demonstration/Monitoring Priorities

Describe how the project furthers the objectives of AB32, addresses questions that have not been resolved, and provides on-going public benefits. Include whether the project includes ongoing cooperative research where CAL FIRE is a member of the cooperative.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

28. Technical Merit

Describe the research methodology and experimental design. Include the hypothesis, methodology for testing, techniques and use of existing knowledge and published research.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

29. Educational Merit

Describe the appropriateness of project for education and field demonstration.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

Forest Pest Control Program Specific Questions #30-#34

30. Pest Mortality Risk Area:

Is your proposed project located within an area designated as having greater than 15% of the tree area at risk to mortality? (See Risk maps as defined National Insect and Disease Forest Risk Assessment <http://foresthealth.fs.usda.gov/nidrm/>) Yes No

31. Stand Conditions and Vegetation Treatment

Does the project involve vegetation management? Yes No

If yes, describe the vegetation treatment forestry prescriptions proposed in the project. Describe how the prescription addresses pest control, forest health improvement, reducing fire hazards, improving tree growth, stabilizing carbon in retained trees, increasing forest resilience, and/or utilizes vegetative material removed during the treatment. Describe whether trees have significant stress indicators, above average tree mortality, and the likelihood that forest stands will respond to the pest control vegetative treatment and achieve pest control objectives. Include any best management practices used to avoid further spread of the pest.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

32. Emergency Pest Infestation

Does the project address an active bark beetle infestation that coincides with Governor's declared emergency or Executive Order; recommended by the Director as a Zone of Infestation per PRC § 4716; or declared by a California Registered Professional Forester as an emergency condition pursuant ~~CCRF~~ 1052 et seq.? Yes No

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID

Project Name:

33. Exotic Forest Insects or Diseases

Does the project help to mitigate exotic/non-native forest insects or diseases to reduce their impact and the potential for spread to new areas of the state? Yes No

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP- 01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP- 01-XXXX-GGRF ID-Unit-Supplemental.doc

34. Active Infestation Suppression Timing

Can removal of active infested trees be undertaken within 4 weeks of the grant award. Yes No

Fuels Reduction Program Specific Questions #35- #36

35. Community at Risk

Is the project associated with a community that is listed as a Community At Risk? See Yes No
http://osfm.fire.ca.gov/fireplan/fireplanning_communities_at_risk.php

36. Stand Conditions and Vegetation Treatment Yes No

Does project involve vegetation management?

If yes, describe the vegetation treatment forestry prescriptions. Describe how the prescriptions focus on treating understory trees and brush with a goal of reducing fire hazards, improving tree growth, stabilizing carbon in retained trees, increasing forest resilience, and/or utilizing vegetative material removed during the treatment. Provide details on the following:

Describe how vegetation will be selected for removal and includes vegetation that eliminates the vertical continuity of ladder fuels and the horizontal continuity of tree crowns, for the purpose of reducing the rate of fire spread, duration and intensity, fuel ignitability, or ignition of tree crowns.

Will the quadratic mean diameter increase in the post-harvest forest stand?

Does the residual stand consists primarily of healthy and vigorous dominant and codominant trees from the preharvest stand? What is the timber site class of the project area?

What is the pre-harvest and expected post harvest tree basal for the project area?

What is the pre-harvest and the expected post harvest stand canopy closure and what percentage of the canopy will be comprised of dominant and codominant trees?

Will standing dead or dying trees and brush be removed with consideration given to other natural resource needs? Do the project's forest stands have significant stress indicators, decline, decadence, or above average tree mortality?

Describe whether the project's forest stands are likely to persist for decades into the future and respond to stand improvements?

Do the forest stands exhibit future "stand release" potential (pre culmination of mean annual increment) to ensure net carbon sequestration benefits?

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP- 01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP- 01-XXXX-GGRF ID-Unit-Supplemental.doc

Watershed Reforestation and Restoration Program Specific Questions #37-#43

37. Reforestation Priority

Larger landscape projects which reforest up to 500 acres of highly productive land that is "available" for long term forest management are priority projects. For lands that are available for long term forest management, disclose the number of acres to be reforested by site class.

Acres of reforestation on available lands: Site Class:

Other priorities are reforestation of productive lands that have significant public benefit but minor economic benefit to the landowners. This would include high site lands that are "unavailable" for forest management due to regulatory or legal restrictions; or productive forestlands that are inaccessible but have significant watershed values (water quality/quantity), wildlife habitat (including Threatened and Endangered), or human security (flooding, tourism) needs. Disclose the number acres reforested on unavailable lands by Site Class.

Acres of reforestation on unavailable lands: Site Class:

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID

Project Name:

38. Vegetation Treatment

Does project involve vegetation management not related to site preparation for reforestation? Yes No

If yes, describe how the vegetation treatment forestry prescriptions (silvicultural prescriptions not related to reforestation activities) reduce fire hazards, improve tree growth, stabilize carbon in retained trees, increase forest resilience, further species diversity and forest health, control pest, and/or utilize vegetative material removed during the treatment. Describe if stands selected for treatment exhibit significant tree stress indicators, above average tree mortality, and the likelihood that forest stands will respond to the vegetative treatment and achieve GHG emission reduction and other objectives. Describe post-harvest forest conditions relative to quadratic mean diameter of the stand; retention of healthy, locally diverse, and vigorous dominant and codominant trees; and canopy closure of dominant and codominant trees. Describe site class of forestland where project activity work will be conducted. Describe planned treatment of logging slash and debris to reduce fire hazards and meet GHG objectives.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

39. Reforestation Practices

Does project involve reforestation? Yes No

If yes, describe the proposed vegetation treatment forestry prescriptions, site preparation techniques, and disposal of site preparation vegetation. Describe reforestation practices and use of sound watershed wide reforestation prescriptions focused on treating damaged watersheds with a goal of re-establishing diverse, native species. Discuss the seed used for reforestation and whether it will be from the seed zones or climate adapted zones approved by USFS/CAL FIRE protocol. Describe whether planted seedling stock will meet or exceed the USFS Region 5 nursery seedling protocol for conifer seedlings. Discuss post-project monitoring of seedling survival after year one, and follow up treatments planned to remove unwanted competition from weeds, grass and brush at the completion of the project or funding request.

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

40. Disastrous Event

Has the project treatment area been adversely affected by wildfire or other accepted catastrophic event(s)? Yes No

When was the most recent catastrophic fire/event?

41. Other Restoration Activities and Benefits

Does project include and have non GGRF funding for other watershed restoration activities such as road drainage repairs/improvements, wildlife enhancements, long-term fire prevention/infrastructure developments? Yes No

Funding Amount:

42. Capability to Plant Seedlings

Do you have all of the following: partnership(s) or agreements to implement the project, access to technical assistance to advise in procurement and arrangements to procure seed/seedlings and immediately grow seedlings with high quality local seed? Yes No

43. Reforestation and Conservation Practices Cost

Disclosure your reforestation and other conservation practice costs (on a per acre or other unit of measurement).

Enter the above information in a document titled "Supplemental Information, 14-GHG-FMP-01-XXXX-GGRF ID-Unit". For digital documents, use the following file name format: 14-GHG-FMP-01-XXXX-GGRF ID-Unit-Supplemental.doc

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID

Project Name:

Application Check List

| Attachment Name | US mail | E-mail | electronic file name (examples) |
|--|--------------------------|--------------------------|---|
| Attachment A - Project Application Form | <input type="checkbox"/> | <input type="checkbox"/> | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-Application.pdf |
| Attachment B - Scope of Work | <input type="checkbox"/> | <input type="checkbox"/> | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-SOW.doc |
| Map | <input type="checkbox"/> | <input type="checkbox"/> | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-MAP.pdf |
| Attachment C - Budget | <input type="checkbox"/> | <input type="checkbox"/> | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-Budget.xls |
| Supplemental Information | <input type="checkbox"/> | <input type="checkbox"/> | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-Supplement.doc |
| Project location GIS data file | | <input type="checkbox"/> | 14-GHG-FMP-01-XXXX-GGRF ID-UUU Project Area.kmz |
| Articles of Incorporation for nonprofits | <input type="checkbox"/> | <input type="checkbox"/> | 14-GHG-FMP-01-XXXX-GGRF ID-UUU-non profit.pdf |

Note: Replace XXXX in the file name with the Projecting Tracking ID Number.

Note: Replace GGRF ID in the file name with the the program ID selected in item 3. (DSFR, FPC, FR, WRR)

Note: Replace UUU in the file name with the 3-letter identifier for the Unit where the project is located. Unit identifiers are listed in the item #1 drop down list.

NOTETO APPLICANT: If you modify the language contained in any part of this document, other than to fill in the blanks, or to provide requested information, your application will be rejected.

I certify that the above and attached information is true and correct:

| | | |
|--|-------|-------------|
| Original Signature Required: Grantee's Authorized Representative | | Date Signed |
| Printed Name | Title | |
| | | |
| Executed on: | at | |
| | | |
| Date | City | |
| <div style="background-color: #cccccc; display: inline-block; padding: 5px 20px; border: none;">Print Form</div> | | |

Please fill out this form completely. Be sure to save a copy of this form for your records. Submit one (1) electronic copy in fillable PDF format with all supporting materials to CALFIREGrants@fire.ca.gov. No signature required for electronic submission. Please use "GGRF Project Application" in the E-mail subject line and include yourself as a cc. Your CC will be proof of your submittal.

In addition, submit one (1) hard copy with signatures and all supporting materials to: California Department of Forestry and Fire Protection, Attention: Grants Management Unit/GGRF Fund Grant, P.O. Box 944246, Sacramento, CA 94244-2460. Both hard copy and electronic will need to be postmarked no later than April 30, 2015.

If you would like to mail the hard copy via expedited/overnight mail, please E-mail CALFIREGrants@fire.ca.gov for the physical mailing address.

Tracking #: 14-GHG-FMP-01-XXXX-GGRF ID:

Project Name:

Instructions for Completing Attachment A: The application package includes “Attachment A.” Most of the information asked for is self-explanatory in nature. Several items warrant explanation and are discussed in the order as they appear on the form.

Many Applications questions include additional narrative responses. Enter all narrative responses in a single document titled “Supplemental Information14-GHG-FMP- 01-XXXX-GGRF ID-Unit”. Enter the Application item number and name for each narrative response. For the digital documents, use the file name format: 14-GHG-FMP- 01-XXXX-GGRF ID-Unit-Supplemental.doc

Item 1. Project Tracking Number: Use the Tracking # that was assigned to the previously submitted concept proposal. This number is found on the Project Application invitation letter.

Item 3. Select the GGRF Program: Select among the GGRF programs that best fits your project’s scope of work. Make sure that you are eligible for the GGRF program for which you are applying. Refer to the program’s Procedural Guidelines for official details on the eligibility requirements. Your Project Application invitation letter provides some information on your eligibility. This item also contains the GGRF ID needed for document titles and digital file naming. The GGRF ID should be one of the following acronyms: DSRF, FPC, FR, or WRR.

Item 5. Project Location: Three separate project location disclosures are required. Provide a legal description based on the California Public Land Survey System format.

Describe the landownership category(s) that best fits your project. Use standardized terms to the extent possible such as Federal, State, Tribal, Private, County, District, or Local.

Prepare a Geographic Information System (GIS) polygon of the project area. The polygon data can be in any of the Esri ArcView data file formats or in the Google Earth data file format. Please note that specific and correct values must be entered into the Latitude and Longitude fields on the form. Enter Longitude as positive number. They are as follows:

Latitude Degrees between 32-42

Latitude Minutes between 0-60

Latitude Seconds between 0-60

Longitude Degrees between 114-124

Longitude Minutes between 0-60

Longitude Seconds between 0-60

Latitude and Longitude must be entered in the North American Datum of 1983, or NAD83.

Further instructions are included in the application form.

Items 6. Project Area Statistics: Total acres within the project area and acres to be treated are often the same. However, in some cases the area impacted by a project (project area) may be larger than the area that actually receives work. Use these two items to make this distinction.

Item 7 Limiting Factors: Check the box if there are any limiting factors that may affect the successful completion of the project. Describe these factors in the Scope of Work (Attachment B).

Item 8. Timber Harvesting Plans: This information is most relevant to projects which conduct fuels treatment or reforestation field work. Check the box and provide the THP number if there are recent timber harvesting plans in the treatment area for which a Notice of Completion or Stocking has not been filed or approved by CAL FIRE.

Item 9. CEQA Compliance: Enter the appropriate CEQA document type for the project. Use standardized CEQA document name types such as Environmental Impact Report, Programmatic Environmental Impact Report, Mitigated Negative Declaration, Negative Declaration, Notice of Exemption, or Timber Harvest Plan, Exemption, or Emergency Notice for those project using forest practice permits for CEQA requirements. If the project does not require CEQA compliance, then select “not applicable” from the list. If a CEQA document has been previously completed then enter the identification number.

Item 10. Forestland: Eligible projects must be conducted on “Forestland”, with the exception of Forest Pest Control Projects which may be conducted in urban areas. Forestland is defined in statute (see Procedural Guidelines Explanation of Terms) and generally means lands with 10% or greater tree cover.

Item 13. Scope of Work: With a separate attached document titled “Attachment B, Scope of Work,” provide a detailed description of the work proposed under the grant agreement. Be specific. The Project Scope of Work requires quality of information, not quantity. Basic elements of this section are:

1. What is the problem that this project is focused at solving?
2. What are the project goals; what will be achieved?
3. How will the project be accomplished, i.e. vegetation treatments by mechanical, hand, prescribed fire, etc.
4. What are the treatment standards to be followed? (i.e., a reduction of surface fuels equal to “X” percent of existing volume).
5. Who will do the work? (e.g., contractors, Registered Professional Foresters, Environmental Consultants (CEQA), in house staff).
6. What are the time frames for the tasks and deliverables?

Clearly and thoroughly connecting the Scope of Work to the GHG emission reduction benefits and goals of the Program is essential.

Item 14. Budget Detail: Use the budget template found in Appendix D to construct the project budget. Label the budget “Attachment C, Budget Detail and Payment Provisions 14 FMP-01-XXXX GGRF ID-Unit.” Ensure that additional information is provided if your project (1) includes generation of revenues received from forest products produced/sold from implementing the project or (2) involves equipment purchases.

Item 16: Greenhouse Gas Benefit: The use of the funds is dependent upon producing a GHG benefit. All projects shall be designed to meet some or all of the greenhouse gas emission or carbon sequestration objectives. These objectives include increasing carbon sequestration in trees retained

on the project site, reduction of wildfire hazards to reduce wildfire emissions, utilization of biomass to offset use of fossil fuels, and utilization of solid wood materials to offset emissions resulting from removal of vegetation. All projects must describe the GHG benefits, include a methodology to calculate and quantify the GHG benefit resulting from the project, provide a disclosure of the calculated GHG emission reduction and carbon sequestration resulting from the project, and prepare a pre and post-project evaluation of site conditions. Be specific in the description of the methodologies used. These factors are the most highly weighted components of the project application.

Additional guidance is provided in the document titled “Guidance and Methods for Quantifying Greenhouse Gas Emissions” at www.fire.ca.gov/grants/grants.php.

Items 17. Co-Benefits: Co-benefits are improvements in environmental quality or socio economic conditions beyond the GHG emission reduction benefits. Socio economic co-benefits include improved public health via better air quality; increased jobs from primary, secondary or tertiary resulting from the project’s work, economic activity created in projects located in a disadvantaged community, and wildfire protection of human infrastructure are considered co-benefits. Environmental co-benefits include improvements in watershed values, such as improved water quality, protection of wildfire riparian, and less soil erosion.

Item 19. Collaboration and Community Support: If community support exists for the project, check “yes” and describe the support in the Supplemental Information document. Description of partnerships and funding from other sources is required. These factors are highly weighted components of the project application.

Item 20. Assurance of Attaining GHG Emission Reduction Benefits: This information discloses and demonstrates how project goals, accomplishments, and GHG benefits are maintained, realized, and made long lasting. Many factors can be used for demonstration. The Application lists several ways this can be demonstrated. These factors are highly weighted components of the project application. Discuss this information in the document titled “Supplemental Information.”

Item 21. Project Contribution to Multiple GGRF Programs: Applications may include multiple GGRF program activities conducted in one single project, to the extent that such activities are eligible for the program. An example is a Fuels Reduction Grant project which includes forest pest control activities that contribute to fire hazard reduction and reduction of potential GHG emissions from wildfire. Projects with multiple GGRF activities are preferentially scored. Enter the information in the document titled “Supplemental Information.”

Item 24. Fire Hazard Severity Zones (FHSZ): Determine the proportion of each Fire Hazard Severity Zone in the project area. Use either the acres method or the percentage method. If acres are used, total acres should equal the project area acres. If the percentage method is used, the total should equal 100%. FHSZ may be determined by accessing the county maps on the website at http://calfire.ca.gov/fire_prevention/fire_prevention_wildland_zones_maps.php. If the project does not have a SRA FHSZ rating, using another credible fire hazard, risk, or threat map is permissible. Please disclose the source of the information used in the Scope of Work.

Item 25. Biomass Utilization: If the project generates biomass (e.g. wood chips) or other forest products (e.g.sawlogs) estimate a quantity of the material that will be harvested and utilized at a processing plant. Do not include material that will be not shipped to a processing plant, for example material that will be chipped and left in the woods. Disclose the volume of biomass utilized in terms

of Bone Dry Tons. Do not use multiple units of measurement; convert all forest products generated and utilized from the project into a single unit of measurement (Bone Dry Tons.) It is appropriate to describe and estimate in the Scope of Work or Co-Benefit narrative the quantities of wood products generated from the project in other measurements terms, such as thousand board feet, cords of firewood, etc. Estimate the percentage of vegetation that was generated from the project that was used for biomass/forest products. (e.g., if the project harvests 1000 BDTs of vegetation and 700 BDTs were utilized at a processing plant, enter 70% in the box provided in the Application).

Item 37. Reforestation Priority: Enter acres by site class that are being reforested for the two land categories described as “Available” and “Unavailable.” Preferred treatments include reforestation on both highly productive, economically valuable lands and “Unavailable” lands. Unavailable lands are lands that would otherwise not be priority reforestation areas due to economic costs of replanting or lack economic returns from the lands, but when reforested provide substantial GHG emission reduction and other co-benefits.

38. Vegetation Treatment: Provide a narrative response to the questions in 38. Vegetation Treatment, in the Supplemental Information document. The focus is on vegetation treatments NOT related to reforestation and site preparation, such as fuel hazard reduction or pest control silvicultural prescriptions in the Project Area.

39. Reforestation Practices: Fully describe the reforestation practices, including site preparation, planting and follow-up post planting treatments that are part of the project. Describe the seed and seedling production to be used on the project. Enter information in question 39. the Supplemental Information document.

40. Disastrous Event: Projects which are treating more recent (< two years ago) catastrophic events are the preferred activities.

41. Other Restoration Activities and Benefits – Provide information on the non-reforestation conservation activities that are part of the project and are being implemented with non- GGRF funding. The most comprehensive efforts to restore watersheds are projects which have non-GGRF funding for other watershed restoration activities, such as road drainage repairs/ improvements, wildlife enhancements, and long-term fire prevention/infrastructure developments, in addition to reforestation activities.

42. Capability to Plant Seedlings: Projects which contain existing and well planned partnership(s) or agreements to implement the project, have access to technical assistance, and can procure seed/seedlings to immediately grow seedlings with high quality local seed are preferred projects.

43. Reforestation and Conservation Practices Cost: Provide costs information (including total cost per acre of reforestation and costs for components such as site prep, planting, follow-up) and other practices to be implemented. Projects which have reforestation and other practices costs within published USDA NRCS and CFIP cost rates are preferential. Disclose your reforestation and other conservation practice costs (on a per acre or other unit of measure cost). Enter the information in the Supplemental Information document.

APPENDIX D – Sample Budget, Attachment C –

| Budget Item | Description | Cost Basis | CAL FIRE Grant Share | Grantee Match | Total |
|-----------------------------------|--------------------------------|-------------------------------|----------------------|---------------|-------------------|
| A. Salaries and Wages | | | 42,600.00 | - | 42,600.00 |
| | Project Lead (Monitoring) | 500 hours x \$30/hour | 15,000.00 | | 15,000.00 |
| | Chipper Captain | 1 staff x 300 hrs x \$17/hr | 5,100.00 | | 5,100.00 |
| | Chipper Crew | 5 staff x 300 hrs x \$15/hr | 22,500.00 | | 22,500.00 |
| | | | | | - |
| B. Employee Benefits | | | 15,703.00 | - | 15,703.00 |
| | Project Lead (Monitoring) | 500 hours x \$10.16/hour | 5,080.00 | | 5,080.00 |
| | Chipper Captain | 1 staff x 300 hrs x \$6.31/hr | 1,893.00 | | 1,893.00 |
| | Chipper Crew | 5 staff x 300 hrs x \$5.82/hr | 8,730.00 | | 8,730.00 |
| | | | | | - |
| C. Contractual | | | 82,000.00 | - | 82,000.00 |
| | CEQA Compliance | | 12,000.00 | | 12,000.00 |
| | Mastication | | 60,000.00 | | 60,000.00 |
| | GHG Emission Verification | | 5,000.00 | | 5,000.00 |
| | RPF Supervision/ Certification | | 5,000.00 | | 5,000.00 |
| | | | | | - |
| D. Travel | | | 280.00 | - | 280.00 |
| | Mileage | 500 miles x \$0.56/mile | 280.00 | | 280.00 |
| | | | | | - |
| | | | | | - |
| | | | | | - |
| E. Supplies | | | 2,000.00 | - | 2,000.00 |
| | Chain saws | 4 chainsaws x \$500/ea | 2,000.00 | | 2,000.00 |
| | | | | | - |
| | | | | | - |
| | | | | | - |
| F. Equipment | | | - | - | - |
| | | | | | - |
| | | | | | - |
| | | | | | - |
| | | | | | - |
| G. Other | | | 130.26 | - | 130.26 |
| | Environmental Filing Fees | | 125.00 | | 125.00 |
| | Postage | | 5.26 | | 5.26 |
| | | | | | - |
| | | | | | - |
| TOTAL DIRECT COSTS | | | 142,713.26 | - | 142,713.26 |
| INDIRECT COSTS | | 10% | 14,271.33 | | 14,271.33 |
| TOTAL PROJECT COSTS | | | 156,984.59 | - | 156,984.59 |
| LESS Program Income | | | - | | - |
| TOTAL GRANT PROPOSED COSTS | | | 156,984.59 | - | 156,984.59 |

APPENDIX E – Sample Resolution

**BEFORE THE BOARD OF DIRECTORS OF THE
(NAME OF COUNTY, CITY, DISTRICT, OR OTHER LOCAL PUBLIC ENTITY)
COUNTY OF (NAME), STATE OF CALIFORNIA**

IN THE MATTER OF:

**RESOLUTION NO: _____
APPROVING THE DEPARTMENT OF FORESTRY AND FIRE
PROTECTION AGREEMENT (NUMBER)
FOR SERVICES FROM DATE TO DATE**

BE IT RESOLVED by the Board of Directors of the **(NAME OF COUNTY, CITY, DISTRICT, OR OTHER LOCAL PUBLIC ENTITY)**, that said Board does hereby approve the agreement with the California Department of Forestry and Fire Protection dated **(Month, day, year)**. This agreement provides **(Type of service)** during the State fiscal year (i.e. **2014/2015**).

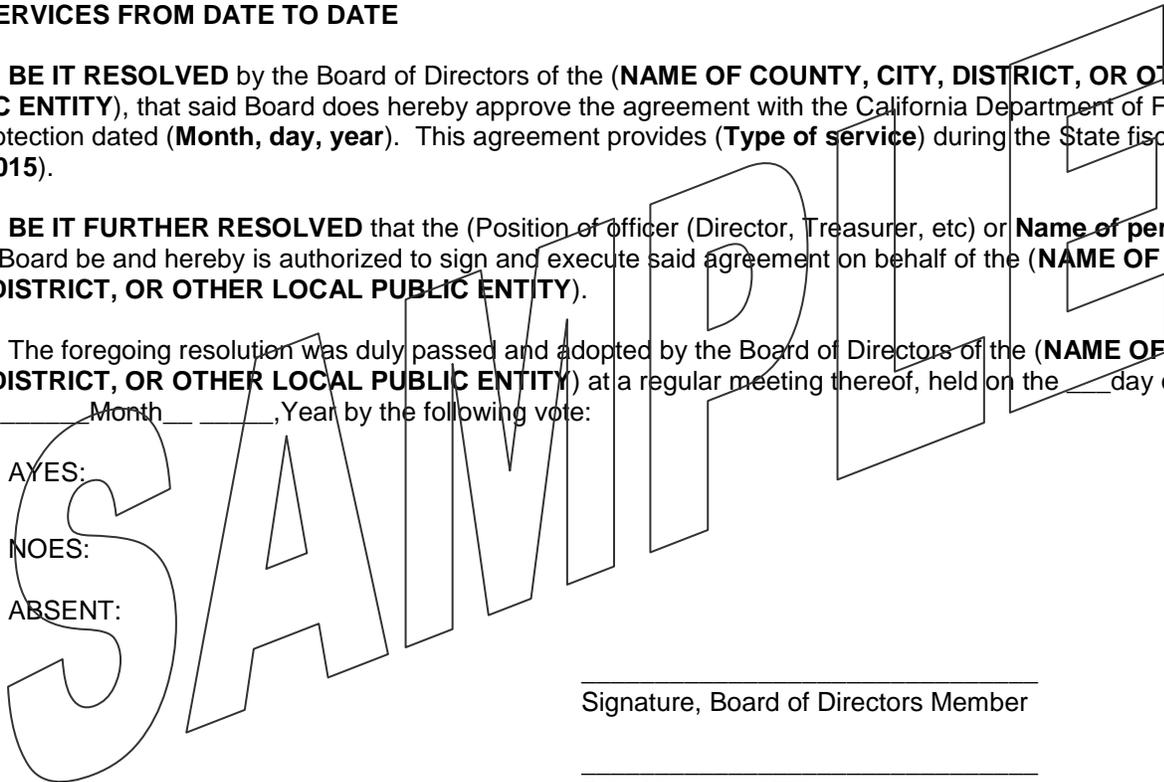
BE IT FURTHER RESOLVED that the (Position of officer (Director, Treasurer, etc) or **Name of person and Title**) of said Board be and hereby is authorized to sign and execute said agreement on behalf of the **(NAME OF COUNTY, CITY, DISTRICT, OR OTHER LOCAL PUBLIC ENTITY)**.

The foregoing resolution was duly passed and adopted by the Board of Directors of the **(NAME OF COUNTY, CITY, DISTRICT, OR OTHER LOCAL PUBLIC ENTITY)** at a regular meeting thereof, held on the _____ day of _____ Month _____, Year by the following vote:

AYES:

NOES:

ABSENT:



Signature, Board of Directors Member

Print Name and Title

ATTEST:

I _____, Clerk of the **(NAME OF COUNTY, CITY, DISTRICT, OR OTHER LOCAL PUBLIC ENTITY)**, California does hereby certify that this is a true and correct copy of the original.
Print Name

Signature

Title
Revision 07/04
S.C.M. 3.05 - Contracts with local government

SEAL OR NOTARY CERTIFICATON

APPENDIX F – Payee Data Record

| | |
|---|---|
| 1 | <p>Requirement to Complete Payee data Record, STD. 204</p> <p>A completed Payee Data Record, STD. 204 is required for payments to all non-governmental entities and will be kept on file at each State agency. Since each State agency with which you do business must have a separate STD 204. on file, it is possible for a payee to receive this form from various State agencies.</p> <p>Payees who do not wish to complete the STD. 204 may elect to not do business with the State. If the payee does not complete the STD. 204 and the required payee data is not otherwise provided, payment may be reduced for federal backup withholding and nonresident State income tax withholding. Amounts reported on Information returns (1099) are in accordance with the Internal Revenue Code and the California Revenue and Taxation Code.</p> |
| 2 | <p>Enter the Payee's legal business name. Sole proprietorships must also include the owner's full name. An individual must list his/her full name. The mailing address should be the address at which the payee chooses to receive correspondence. Do not enter payment address or lock box information here.</p> |
| 3 | <p>Check the box that corresponds to the payee business type. Check only one box. Corporations must check the box that identifies the type of corporation. The State of California requires that all parties entering into business transactions that may lead to payment(s) from the State provide their Taxpayer identification Number (TIN). The TIN is required by the California Revenue and Taxation Code Section 18646 to facilitate tax compliance enforcement activities and the preparation of Form 1099 and other information returns as required by the Internal Revenue Code Section 6109(a).</p> <p>The TIN for individuals and sole proprietorships is the Social Security Number (SSN). Only partnerships, estates, trusts, and corporations will enter their Federal Employer Identification Number FEIN).</p> |
| 4 | <p>Are you a California resident or nonresident?</p> <p>A corporation will be defined as a "resident" if it has a permanent place of business in California or is qualified through the Secretary of State to do business in California.</p> <p>A partnership is considered a resident partnership if it has a permanent place of business in California. An estate is a resident if the decedent was a California resident at time of death. A trust is a resident if at least one trustee is a California resident.</p> <p>For individuals and sole proprietors, the term "resident" includes every individual who is in California for other than a temporary or transitory purpose and any individual domiciled in California who is absent for a temporary or transitory purpose. Generally, an individual who comes to California for a purpose that will extend over a long or indefinite period will be considered a resident. However, an individual who comes to perform a particular contract of short duration will be considered a nonresident.</p> <p>Payments to all nonresidents may be subject to withholding. Nonresident payees performing services in California or receiving rent, lease, or royalty payments from property (real or personal) located in California will have 7% of their total payments withheld for State income taxes. However, no withholding is required if total payments to the payee are \$1,500 or less for the calendar year.</p> <p>For information on Nonresident Withholding, contact the Franchise Tax Board at the numbers listed below: Withholding services and Compliance Section: 1-888-792-4900 E-Mail Address: wscs.gen@ftb.ca.gov For hearing impaired with TDD, call: 1-800-822-6268 Website: www.ftb.ca.gov</p> |
| 5 | <p>Provide the name, title, signature, and telephone number of the individual completing this form. Provide the date the form was completed.</p> |
| 6 | <p>This section must be completed by the State agency requesting the STD. 204.</p> |
| | <p>PRIVACY STATEMENT</p> <p>Section 7(b) of the Privacy Act of 1974 (Public Law 93-579) requires that any federal, state or local governmental agency which requests an individual to disclose their social security account number shall inform that individual whether that disclosure is mandatory or voluntary, by which statutory or other authority such number is solicited, and what uses will be made of it. It is mandatory to furnish the information requested. Federal law requires that payment for which the requested information is not provided is subject to federal backup withholding and State law imposes noncompliance penalties of up to \$20,000. You have the right to access records containing your personal information, such as your SSN. To exercise that right, please contact the business services unit or the accounts payable unit of the state agency(ies) with which you transact that business. All questions should be referred to the requesting State agency listed on the bottom front of this form.</p> |

APPENDIX G

Invoice Guidelines

While the grantee is not required to use the sample invoice included in the grant guidelines due to differences in accounting systems used by the grantee, the grantee must include the following elements when submitting a payment request, either on the grantee's invoice or as an attachment to the invoice. If grantee chooses to use their invoice in lieu of the sample provided, the invoice must be put on grantee's official letterhead.

1. The word "Invoice" should appear at the top of the page.
2. Grantee Information – Includes the grantee name and address which should match the Std. 204 - Payee Data Record submitted with the grant agreement. Payment will be submitted to this address after the invoice has been approved. Grantee shall notify CAL FIRE in writing regarding address changes and effective dates.
3. Invoice Date & Number – Date invoice was issued and unique invoice number to be determined by grantee for reference.
4. CAL FIRE Contact Name and Address – Name, Program and Address of the CAL FIRE staff invoice and back-up documents should be submitted to as identified in the grant agreement.
5. Grant Identification – Grant Number, Grant Performance Period and Project Name as identified in the grant agreement.
6. Invoice Period – Performance start and end dates grantee is invoicing CAL FIRE for. Under no circumstances will an invoice period outside of the grant performance period be accepted.
7. Payment Type – Indicate whether the invoice is to request an advance payment, interim payment or final payment.
8. Cost breakdown – Grantee costs must be broken down by the budget item consistent with the approved budget in the grant agreement. Grantee must identify the amount CAL FIRE is being billed for in the invoice period, the total amount spent and match (if applicable) from the beginning of the grant performance period through the invoice period. Advance requests should still include a breakdown of the budget items the grantee is planning to expend the funds on.
9. Offset to current cost – Include the balance of advance funds given to grantee as well as program income earned from the grant.
10. Total amount grantee is requesting reimbursement from CAL FIRE.
11. Grantee contact name, phone number and email address for questions related to the invoice.
12. All back up documentation to support the invoice.
13. Certification and signature of authorized representative - Please see sample invoice for certification language.

Sample invoice

INVOICE

Grantee Name

Street Address
 Street Address2
 City, ST ZIP Code

Submit invoice and supporting documentation to:
 California Department of Forestry & Fire Protection (CAL FIRE)
 ATTN: NAME, PROGRAM
 Street Address2
 City, ST ZIP Code

DATE: Month DD, YYYY
INVOICE #: 100

Grant Number: 8XX14XXX
 Grant Period: MM/DD/YYYY to MM/DD/YYYY
 Project Name:

Invoice Period: MM/DD/YYYY to MM/DD/YYYY
 Payment Type: Advance Request Interim Payment Final Payment

| BUDGET ITEM | BUDGETED AMOUNT | CURRENT COST | EXPENDED TO DATE | MATCH TO DATE |
|---------------------------------|-----------------|--------------|------------------|---------------|
| Salaries and Wages | | | | - |
| Employee Benefits | | | | - |
| Contractual | | | | - |
| Travel | | | | - |
| Supplies | | | | - |
| Equipment | | | | - |
| Other | | | | - |
| Indirect Cost 10% | | | | |
| TOTAL | - | \$ - | \$ - | \$ - |
| Less Outstanding Advance | | \$ - | | |
| Less Program Income | | \$ - | | |
| CURRENT DUE | | \$ - | | |

Check all those that apply:

- Supporting documentation attached (required for Interim & Final Payment)
- Project Progress Report (Interim) or Project Completion Report (Final)

Direct questions to: Name
 XXX-XXX-XXXX Ext. XXXX
 Email address

CERTIFICATION: I certify that I have the full authority to execute this payment request on behalf of the Grantee. I declare under penalty of perjury, under the laws of the State of California, that this request and accompanying documents for the above referenced grant is true and correct to the best of my knowledge, and represents actual allowable disbursements made for the work performed in accordance with the conditions of the grant.

Signature of Authorized Official _____ Date _____ Printed Name _____ Title _____

| CAL FIRE USE ONLY | | | | | | |
|---|----|-------|--------|-----|--------|----------|
| Payment approval signature (Unit/Field Staff) | | | Title | | Date | |
| Payment approval signature (Program Manager) | | | Title | | Date | |
| Payment approval signature (Grants Management Unit) | | | Title | | Date | |
| CAL FIRE Coding: | FY | Index | Object | PCA | Amount | Vendor # |

APPENDIX H – Application Check List

- Completed Attachment A-Application Form
- Completed Attachment B-Project Scope of Work
- Completed Attachment C-Project Budget Detail
- Attach Project Area Map
- Supplemental Information
- Project location GIS data file
- Articles of Incorporation, including document w/seal from Secretary of State (nonprofit applicant).

Agreement Check List

- Original signed Grant Agreements with attachments
- One original Board Resolution or Attesting Document
- Std. 204 Payee Data Record